



New York State  
EDUCATION DEPARTMENT

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Knowledge › Skill › Opportunity

# State Performance Plan/Annual Performance Report

## Federal Fiscal Year 2015

Presentation to the Board of Regents

June 2017

# Blueprint for Improved Results for Students with Disabilities

## Key Principles

*Students engage in self-advocacy and are involved in determining their own educational goals and plan.*

*Parents, and other family members, are engaged as meaningful partners in the special education process and the education of their child.*

*Teachers design, provide, and assess the effectiveness of specially designed instruction to provide students with disabilities with access to participate and progress in the general education curriculum.*

*Teachers provide research-based instructional teaching and learning strategies and supports for students with disabilities.*

*Schools provide multi-tiered systems of behavioral and academic support.*

*Schools provide high quality inclusive programs and activities.*

*Schools provide appropriate instruction for students with disabilities in career development and opportunities to participate in work-based learning.*

# State Performance Plan (SPP) and Annual Performance Report (APR)

Section 616 of Individuals with Disabilities Education Act (IDEA) requires that States:

- State Performance Plan (SPP) that evaluates State's efforts to implement IDEA
- Submit Annual Performance Report (APR) to United States Department of Education on State's performance under SPP
- Report annually to public on performance of each district in State on targets in SPP

# SPP Indicators

States must report on the following 17 indicators each year in the APR:

- Indicator 1: Graduation
- Indicator 2: Drop Out
- Indicator 3: Assessments
- Indicator 4: Suspension/Expulsion
- Indicator 5: Education Environments (ages 6-21)
- Indicator 6: Preschool Environments (ages 3-5)
- Indicator 7: Preschool Outcomes
- Indicator 8: Parent Involvement

# SPP Indicators

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- Indicator 9: Disproportionate Representation
- Indicator 10: Disproportionate Representation in Specific Disability Categories
- Indicator 11: Child Find (Timely Evaluations)
- Indicator 12: Early Childhood Transition
- Indicator 13: Secondary Transition
- Indicator 14: Post-School Outcomes
- Indicator 15: Resolution Sessions
- Indicator 16: Mediation
- Indicator 17: State Systemic Improvement Plan

# Slippage

OSEP's Definition:

**A worsening from the previous data AND a failure to meet the target.**

The worsening also needs to meet certain thresholds to be considered slippage:

- For a large percentage (10% or above), it is considered slippage if the worsening is more than 1%.
- For a small percentage (less than 10%), it is considered slippage if the worsening is more than 0.1%.

## Indicator 1

# Graduation Rates

**52.86%** of students with IEPs graduated from high school with a regular high school diploma within four years.

(16,816 out of 31,813 students with disabilities)

**Target: 55.39%**

**Last year: 52.65%**

**No Slippage**

## Indicator 2

# Drop Out

**12.55%** of students with disabilities dropped out of school.

(3,993 out of 31,813 students with disabilities)

**Target: 14.00%**

**Last year: 13.05%**

**No Slippage**



## Indicator 3

# State Assessments

	Statewide Participation for Students with Disabilities (3B)				Statewide Performance for Students with Disabilities (3C)			
	ELA		Math		ELA		Math	
	3-8	HS	3-8	HS	3-8	HS	3-8	HS
<b>Targets</b>	95%	95%	95%	95%	16%	63%	16%	64.50%
<b>Actual Data</b>	<b>76.18%</b> (slip)	<b>90.69%</b>	<b>74.15%</b> (slip)	<b>94.06%</b>	<b>15.6%</b>	<b>70.98%</b>	<b>18.34%</b>	<b>67.16%</b>

## Indicator 4A

# Suspension

Students with disabilities out-of-school suspension rate for more than 10 days at a rate of 2.7 percent or higher.

**4.27% of all school districts  
(29 school districts)**

**Target: 4.50%**

**Last year: 4.12%**

**No Slippage**

## Indicator 4B

# Suspension

Districts that have a significant discrepancy, by race or ethnicity, in the rate of suspensions of students with disabilities and policies, procedures or practices that contribute to the significant discrepancy.

**3.09% of all school districts  
(21 school districts)**

**Target: 0%**  
**Last year: 2.06%**  
**Slippage**

# 2016-17 Notifications for Disproportionality in Disciplinary Actions

## 62 districts + 21 At Risk

- 58 for suspension of Black students
  - 26 fewer than 11 days in-school
  - 2 more than ten days in-school
  - 44 fewer than eleven days out-of-school
  - 12 more than ten days out-of-school;
  - 21 of the 58 districts were for multiple length/type suspension categories
- 2 for suspension of Hispanic students
  - 1 fewer than 11 days in-school
  - 1 fewer than eleven days out-of-school
- 2 for suspension of Hispanic students
  - 2 fewer than 11 days in-school

# Disciplinary Actions, cont'd

## **At Risk - 15** for suspension of Black students

- 10 fewer than 11 days in-school
- 11 fewer than 11 days out-of-school
- 2 more than ten days out-of-school
- 6 of the 15 districts were for multiple length/type suspension categories)

## **At Risk - 6** for suspension of Hispanic students

- 4 fewer than 11 days in-school
- 2 fewer than 11 days out-of-school

## **At Risk - 1** for suspension of two or more races

- 1 fewer than 11 days in-school

# Indicator 5A

## Education Environments

### ages 6-21

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**57.98%** served inside regular classrooms 80 percent or more of the school day

**Target: 58.80%**  
**Last year: 57.80%**  
**No slippage**

Indicator 5B

# Education Environments ages 6-21

**19.82%** served inside regular classrooms for less than 40 percent of the school day

**Target: 20.50%**

**Last year: 19.80%**

**No slippage**

## Indicator 5C

# Education Environments ages 6-21

**5.44%** served in public or private separate schools, residential placements, or homebound or hospital placements

**Target: 5.80%**

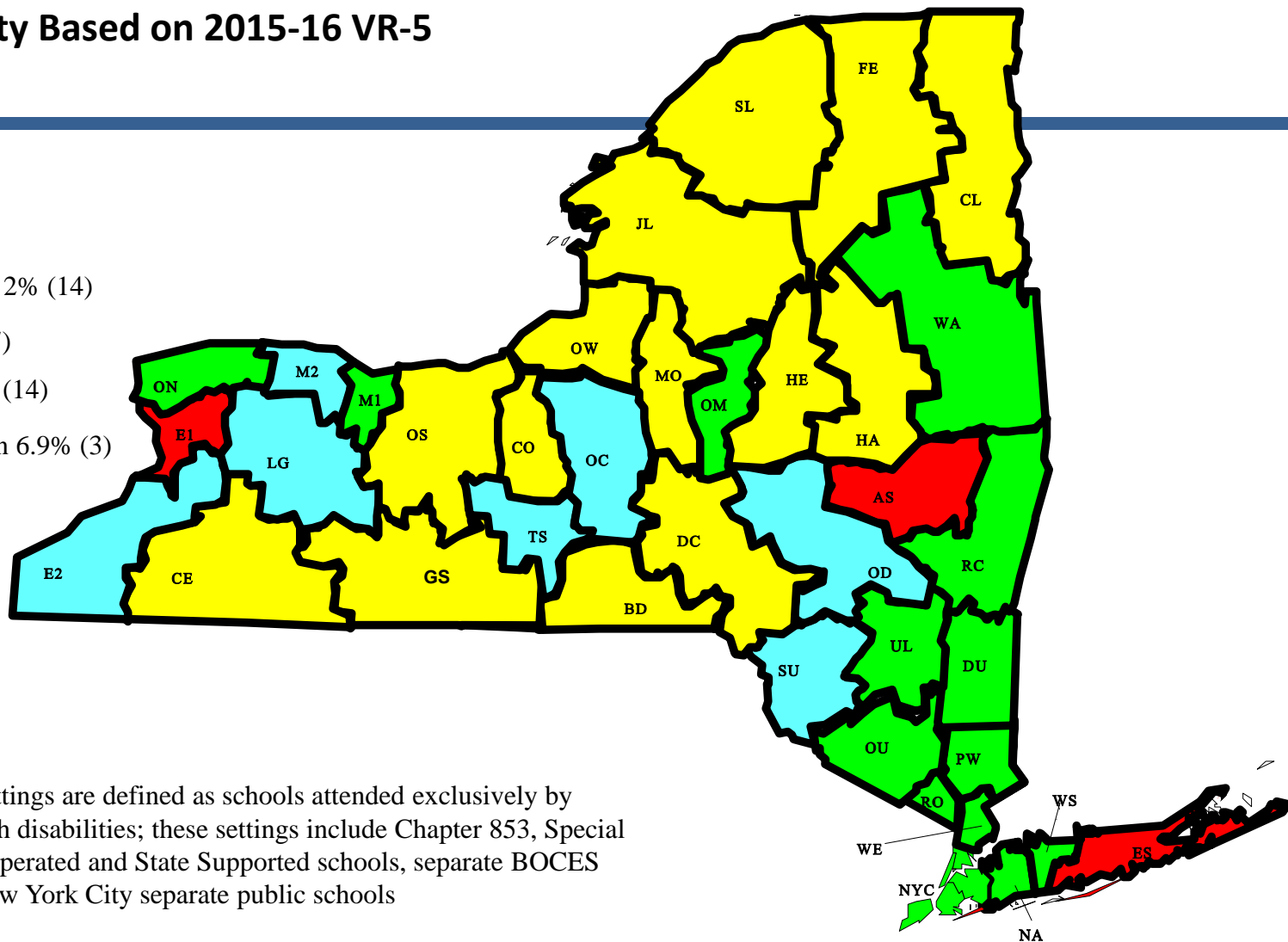
**Last year: 6.13%**

**No slippage**



# Students with Disabilities (Ages 6-21) in Separate Settings By BOCES Region and New York City Based on 2015-16 VR-5 Data

- Less than 2% (14)
- 2-4.3% (7)
- 4.4-6.9% (14)
- More than 6.9% (3)



Separate Settings are defined as schools attended exclusively by students with disabilities; these settings include Chapter 853, Special Act, State Operated and State Supported schools, separate BOCES sites and New York City separate public schools

# Indicator 6A

## Preschool Environments

### ages 3-5

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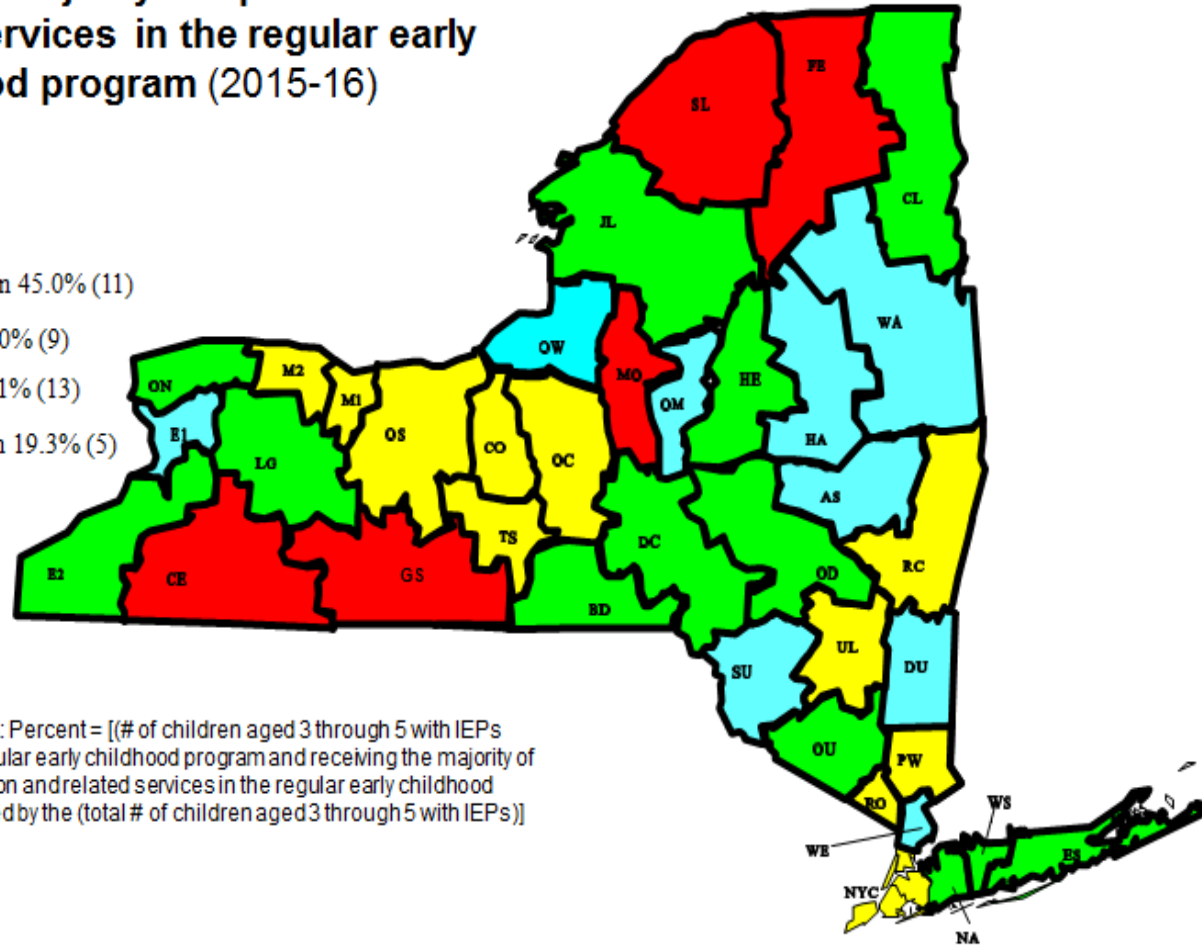
**41.94%** received the majority of their special education and related services in the regular early childhood program.

**Target: 43.50%**  
**Last year: 43.19%**  
**Slippage**

**Percent of children aged 3 through 5 with IEPs attending a regular early childhood program and receiving the majority of special education and related services in the regular early childhood program (2015-16)**

Measurement A – All Age 3-5

- More than 45.0% (11)
- 32.2 -45.0% (9)
- 19.3-32.1% (13)
- Less than 19.3% (5)



Measurement A: Percent = [(# of children aged 3 through 5 with IEPs attending a regular early childhood program and receiving the majority of special education and related services in the regular early childhood program) divided by the (total # of children aged 3 through 5 with IEPs)] times 100.

1/25/17

Indicator 6B

# Preschool Environments ages 3-5

**23.86%** attended a separate special education class, separate school or residential facility.

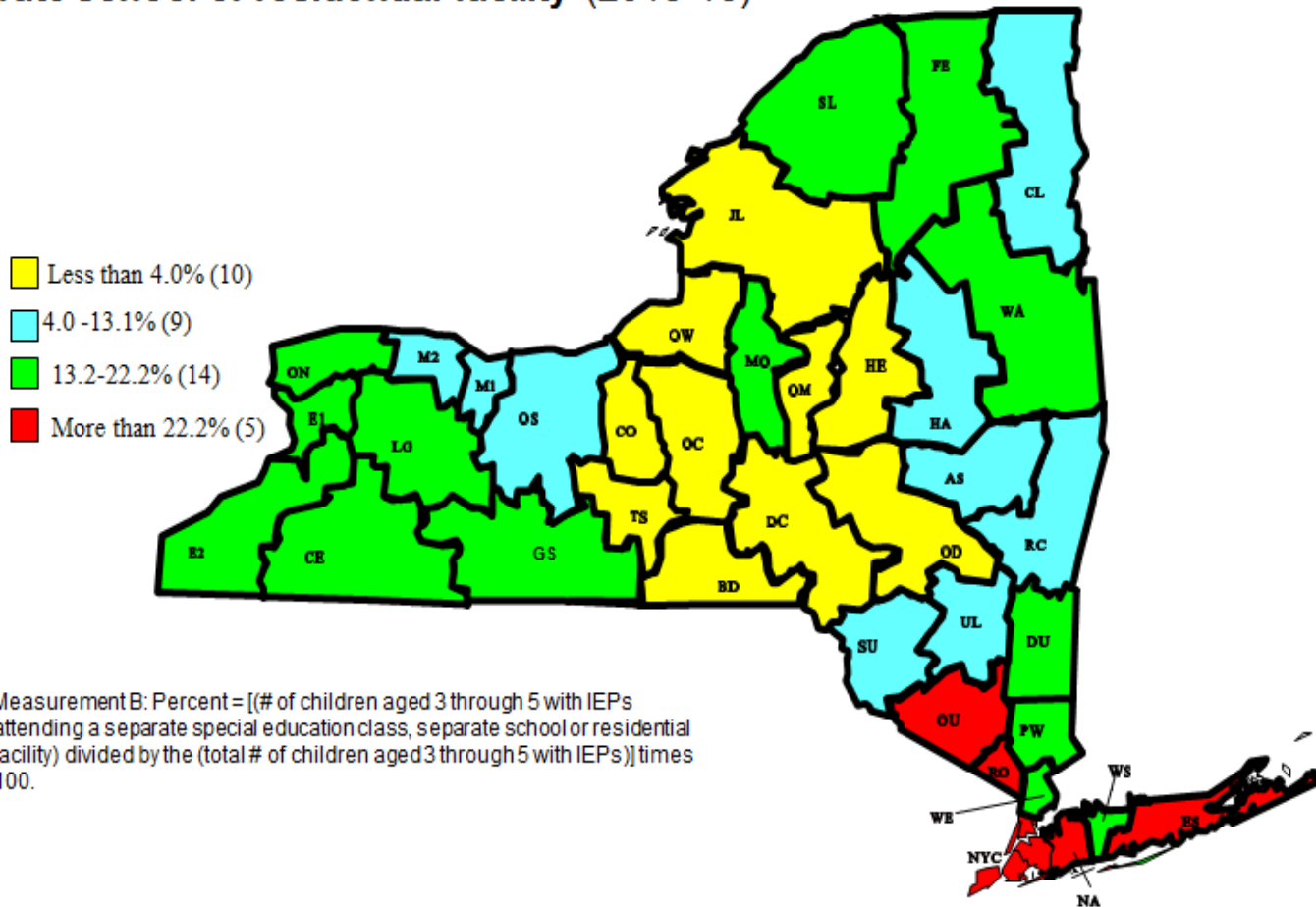
**Target: 21.00%**

**Last year: 22.65%**

**Slippage**

**Percent of children aged 3 through 5 with IEPs attending a separate special education class, separate school or residential facility (2015-16)**

Measurement B – All Age 3-5



1/25/17

## Indicator 7

# Preschool Outcomes



Percent of preschool children ages 3 through 5 with individualized education programs (IEPs) who demonstrate improved:

- Positive social-emotional skills (including social relationships);
- Acquisition and use of knowledge and skills (including early language/ communication and early literacy); and
- Use of appropriate behaviors to meet their needs.

Indicator 7 Preschool Outcomes Summary Statements	Data FFY 2015
<b><i>Outcome A: Positive social-emotional skills (including social relationships)</i></b>	
1. Of those preschool children who entered or exited the preschool program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.	<b>91.18%</b>
2. The percent of preschool children who were functioning within age expectations in Outcome A by the time they turned 6 years of age or exited the program.	<b>47.79%</b>
<b><i>Outcome B: Acquisition and use of knowledge and skills (including early language/ communication and early literacy)</i></b>	
1. Of those preschool children who entered or exited the preschool program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.	<b>90.86%</b>
2. The percent of preschool children who were functioning within age expectations in Outcome B by the time they turned 6 years of age or exited the program.	<b>48.22%</b>
<b><i>Outcome C: Use of appropriate behaviors to meet their needs</i></b>	
1. Of those preschool children who entered or exited the preschool program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.	<b>90.15%</b>
2. The percent of preschool children who were functioning within age expectations in Outcome C by the time they turned 6 years of age or exited the program.	<b>53.01%</b>

## Indicator 8

# Parental Involvement

**93.45%** of parents with a child receiving special education services reported that schools facilitated parent involvement as a means of improving services and results for children with disabilities.

**Target: 94.00%**

**Last year: 93.93%**

**No slippage**



## Indicator 9

# Disproportionate Representation

Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification

**0.59 percent**

- **12 school districts** were identified based on data
- **4 of the 12 districts** were found to have disproportionate representation that was the result of inappropriate identification according to the review of policies, procedures and/or practices

**Target: 0%**

**Last year: 0.15%**

**Slippage**

# 2016-17 Notifications for Disproportionality

## Placement: 3 districts + 9 at risk

- Placements for less than 40% of time in regular classes (1 for Asian students, 1 for Hispanic students)
- Placements in separate settings (1 for Black students)
- At Risk – Placements in separate settings (2 for Black students)
- At Risk – Placements for less than 40% of time in regular classes (4 for Asian students, 2 for Hispanic students, 1 for Native American)

## Indicator 10

# Disproportionate Representation in Specific Disability Categories

The percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification

**0.44 percent**

- **14 school districts** were identified based on data
- **3 of the 14 districts** were found to have disproportionate representation that was the result of inappropriate policies, procedures and/or practices

**Target: 0%**

**Last year: 1.18%**

**No slippage**

# 2016-17 Notifications for Disproportionality

## Identification: 8 districts + 23 at risk

- 8 for Black students
- At Risk - 21 for Black students, 2 for Hispanic students

## Classification: 16 districts + 12 at risk

- 10 for Black students (5 ED, 1 ID, 3 SLI, 1 LD & ED)
- 6 for Hispanic students (2 ID, 3 SLI, 1 LD)
- At Risk – 8 for Black students (2 ED, 3 LD, 2 ID, 1 LD & SLI)
- At Risk – 3 for Hispanic students (2 SLI, 1 LD)
- At Risk – 1 for Two or More Races students (1 OHI)

## Indicator 11

# Timely Evaluations

**83.30%** of students with parental consent to evaluate received their initial individual evaluations within State-required timelines.

**Target: 100%**  
**Last year: 83.84%**  
**No slippage**

## Indicator 12

# Early Intervention to Preschool Transition

**67.35%** of children referred from Part C had their eligibility for Part B determined and an IEP implemented by their third birthday or in compliance with timelines established in State law.

**Target: 100%**  
**Last year: 75.26%**  
**Slippage**

## Indicator 13

# Secondary Transition

**76.50%** of students aged 15 and above had appropriate transition planning on their IEPs.

**Target: 100%**

**Last year: 78.29%**

**Slippage**



## Indicator 14A

# Post-School Outcomes

**40.77%** of students were enrolled in higher education within one year of leaving high school.

**Target: 42.70%**

**Last year: 48.12%**

**Slippage**





## Indicator 14B

# Post-School Outcomes

**67.25%** of students were enrolled either in higher education or competitively employed within one year of leaving high school.

**Target: 67.00%**

**Last year: 71.71%**

**No slippage**

Indicator 14C

# Post-School Outcomes



**77.75%** of students were enrolled in higher education or in some other postsecondary education or training program, or competitively employed or in some other employment within one year of leaving high school.

**Target: 76.00%**

**Last year: 80.85%**

**No slippage**

## Indicator 15

# Resolution Sessions

**3.20%** of hearing requests that went to resolution sessions were resolved through resolution session settlement agreements.

**(161 of 5,036 resolution sessions)**

**Target: 7.00 – 8.00%**

**Last year: 4.82%**

**Slippage**

## Indicator 16

# Mediation Agreements

**83.02%** of mediation sessions held resulted in mediation agreements.

(Mediations held = 212)

**Target: 87.00 – 91.00%**

**Last year: 88.53%**

**Slippage**

## Indicator 17 –SSIP

# State Systemic Improvement Plan (SSIP)

- New indicator initiated in FFY 2013
- Multi-year, achievable plan
- Developed in consultation with stakeholders
- Designed to increase capacity of school districts to:
  - implement, scale up, and sustain evidence-based practices to improve outcomes for students with disabilities

# Indicator 17 - SSIP

- 5 Years - (2014-15 to 2018-19)
  - 3 Phases
    - Analysis; multi-year plan; evaluation
  - Feedback from OSEP on Phases 1 and 2
    - Narrow State Identified Measurable Result (SIMR)
    - Achievable Plan
    - Increased Stakeholder Engagement
    - Technical Assistance Resources
  - Phase 3 Submitted – April 1, 2017

# Indicator 17 - SSIP

## Revised SIMR

***“For students classified as students with learning disabilities in SSIP pilot schools (grades 3-5), increase the percent of students scoring at proficiency levels 2 and above on the Grades 3-5 English Language Arts State Assessments”***

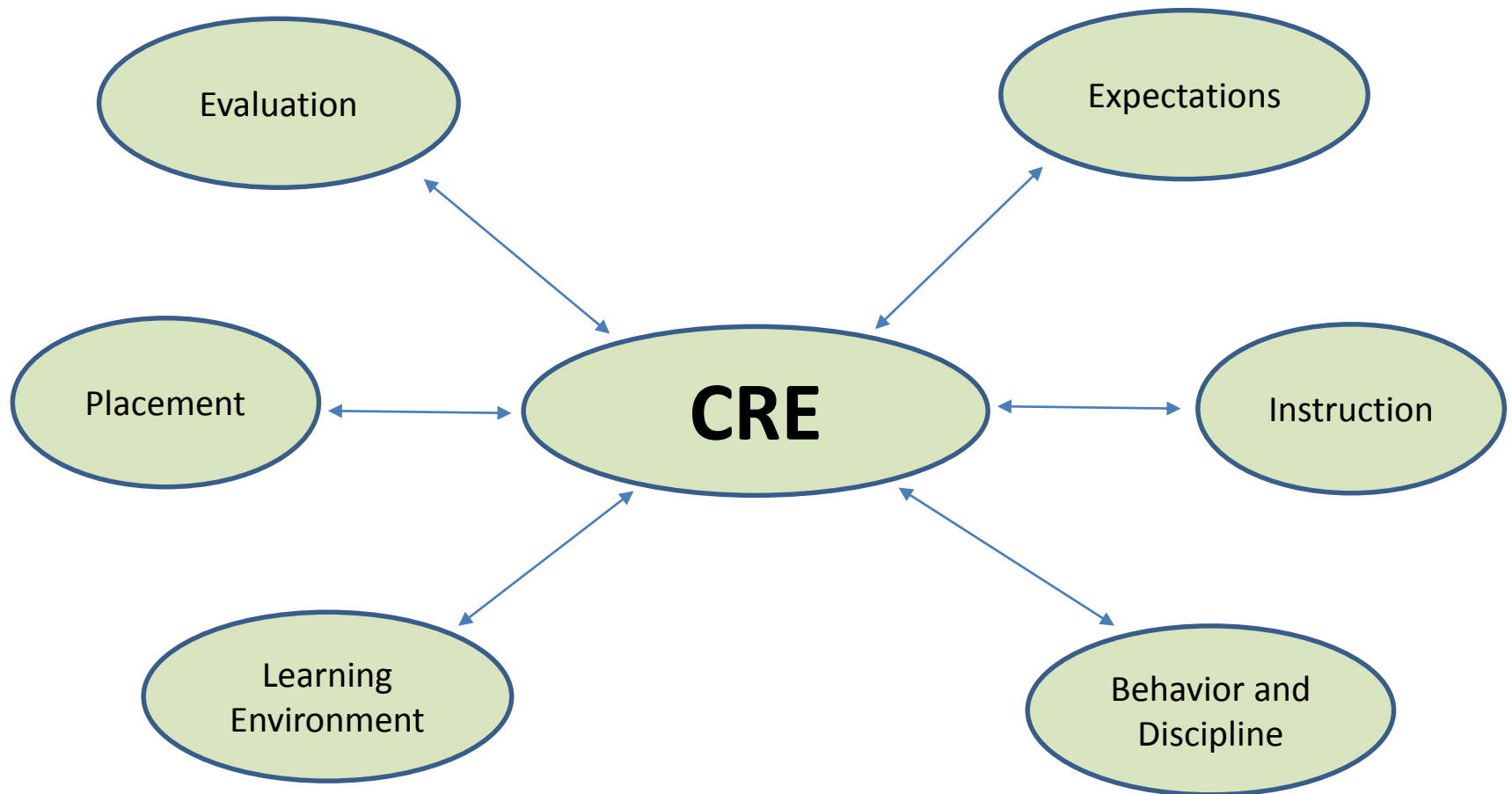


# Indicator 17 - SSIP

- Targeted Intervention Approach
- Multitiered Systems of Support (MTSS)
  - integrated
  - culturally and linguistically responsive
  - specially designed instruction
  - implemented with fidelity
- Improving outcomes for students with learning disabilities in 19 schools (grades 3-5) in 4 regions of the State
  - Capital District
  - Lower Hudson Valley
  - Long Island
  - NYC



# Educational Decisions and Culturally Responsive Education



# Office of Special Education Funded Technical Assistance and Resource Centers

**Positive Behavioral  
Interventions and Supports  
TAC (PBIS TAC)**

**Speech-Language & Bilingual  
Speech- Language Personnel  
Development TAC**

**Center for Autism and  
Related Disorders**

**New York City Preschool  
Bilingual/English as a  
Second Language TAC**



**Technical  
Assistance Center  
on Disproportionality  
(TAC-D)**

**14 Early  
Childhood  
Direction Centers**

**14 Special  
Education  
Parent  
Centers**

**Professional Learning Center**

**Response  
to Intervention TAC and RtI  
Professional Development Project**

**10 Regional Special  
Education Technical  
Assistance Support  
Centers  
(RSE-TASC)  
(Regional Specialists and  
School Improvement  
Specialists)**

**Transition Services  
Professional Development  
Support Center (PDSC)**

# New direction for the Office of Special Education...

- Evaluation of the Office's major projects, monitoring and professional development structures, and workflow systems.
- Established Priority Improvement Areas to support the key principles of the special education Blueprint and drive the work of the Office.
  - ✓ Achievement/Performance Outcomes
  - ✓ Least Restrictive Environment
  - ✓ Disproportionality
  - ✓ Secondary Transition
- Developing a proposal to redesign the organizational structure of the Office to better support the internal and cross-office work.

# Work moving forward...

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- Implementing a redesigned Regional Planning Process to ensure deployment of resources aligned to support districts under the SPP indicators
- Implementing the MTSS pilot schools to design effective models in integrating culturally and linguistically responsive practices
- Formation of SPP indicator workgroups to provide ongoing monitoring and product development in the areas of suspension, LRE, disproportionality, secondary transition, and timely evaluations
- Releasing updated and accessible guidance documents, resources and review protocols
- Cross-office initiatives:
  - to improve Department data systems
  - to address special education teacher shortages
  - to address preschool education and integration issues
  - to analyze the two-tier due process system in NYS