

THE STATE EDUCATION DEPARTMENT / THE UNIVERSITY OF THE STATE OF NEW YORK / ALBANY, NY 12234

TO: Higher Education Committee

FROM: John L. D'Agati L. Dark

SUBJECT: Renewal of Institutional Accreditation: The Elmezzi

Graduate School of Molecular Medicine

DATE: January 4, 2016

AUTHORIZATION(S): Varyellu Clia

SUMMARY

Issue for Decision

Should the Board of Regents renew the institutional accreditation of the Elmezzi Graduate School of Molecular Medicine?

Reason(s) for Consideration

Required by State regulation.

Proposed Handling

This question will come before the Higher Education Committee at its January 2016 meeting, where it will be voted on and action taken. It will then come before the full Board at its January 2016 meeting for final action.

Members of the Board of Regents with a conflict of interest or the appearance of a conflict of interest on this application are asked to recuse themselves from participating in the deliberation and decision.

Procedural History

On December 1, 2015, the Regents Advisory Council on Institutional Accreditation (RAC) met to consider the accreditation renewal application of the Elmezzi Graduate School of Molecular Medicine. The RAC's recommendation was to renew the accreditation of the Elmezzi Graduate School of Molecular Medicine with conditions for a period of five years, with the provision that updates on responses to all recommendations are addressed in the institution's required annual reports, with particular attention to formalizing and implementing the noted assessment mechanisms.

Consistent with Section 4-1.5(a)(8)(v) of the Regents Rules, the Commissioner adopted the RAC's findings and recommendations and hereby transmits them to the Board of Regents for consideration and final action. The full record of the application for renewal of accreditation is available to the Board, electronically and in hard copy, through the Board Secretary.

Background Information

The Elmezzi Graduate School of Molecular Medicine (Manhasset) has applied for renewal of its institutional accreditation by the Board of Regents and the Commissioner of Education.

The Graduate School is an independent institution that offers a single course of graduate study, a Ph.D. program in Molecular Medicine. It is a part of the North Shore-LIJ Health System, and it is operated by the health system's Feinstein Institute for Medical Research.

The Graduate School received a provisional charter in 1994 (as the Picower Graduate School of Molecular Medicine) and an absolute charter in 2002. The Board of Regents and Commissioner of Education awarded initial institutional accreditation to the School in 2002 and renewal of accreditation in 2006.

Recommendation

VOTED: That the Board of Regents renew the accreditation of the Elmezzi Graduate School of Molecular Medicine with conditions for a period of five years, with the provision that updates on responses to all recommendations are addressed in the institution's required annual reports, with particular attention to formalizing and implementing the noted assessment mechanisms.

Attachment

Information in Support of Recommendation

Peer Review Visit

On August 6, 2014, a peer review team ("Team") conducted a site visit to the Graduate School as part of a review to determine the institution's compliance with Regents accreditation standards. In its report, the Team made a total of 13 recommendations.

The Team found the School to be in compliance with standards (as defined under Section 4-1.4 of Regents Rules) addressing institutional mission; assessment of student achievement; resources; support services; and requirements addressing Title IV, teach out, and public disclosure responsibilities.

The Team found the School to be out of compliance with the following standards: programs of study; faculty; administration; admissions; consumer information; and student complaints.

Overall, the Team concluded that the institution has the understanding and resources to adequately address the recommendations.

The Department transmitted the draft Team report to the School for review and comment. The School accepted the draft report's recommendations, provided clarification of existing School activities in relation to the standards, and described actions and plans to address the recommendations. The resulting compliance review report includes the draft Team report, the School's response, and the Department's recommendation with respect to accreditation action.

Based on the self-study and other pertinent material, the Team's report and the School's response, the Department found that areas of non-compliance are not of such nature or scope as to call into question the institution's substantive adherence to the institutional accreditation standards. The institution demonstrated the intent and capacity to rectify identified deficiencies and to strengthen practice in marginally acceptable matters within no more than two years. For example, the Team recommended that the School strengthen practice by developing mechanisms to ensure that syllabi include explicit learning objectives and assessment measures and by implementing formative and substantive evaluation of teaching by both peers and students.

As a result, the Department recommended accreditation with conditions for a period of five years, with the provision that updates on responses to all recommendations are addressed in the institution's required annual reports, with particular attention to formalizing and implementing the noted assessment mechanisms.

Regents Advisory Council on Institutional Accreditation (RAC) Review

As required by Subpart 4-1 of the Regents Rules, the Department transmitted the compliance review report and the Graduate School's self-study for consideration by the Regents Advisory Council. (The RAC is established in §3.12(d) of the Rules of the

Board of Regents "to review applications for accreditation and renewal of accreditation pursuant to Part 4 of this Title, and such other matters as the Department may ask it to review, and make recommendations to the Regents and the Commissioner based on its review.")

On December 1, 2015, the RAC met to consider the Graduate School's application. In a public meeting, it met with representatives of the Graduate School, a member of the peer review team, and Department staff. The RAC members discussed their observations and asked questions of the institution. The institution's representatives responded to each of these questions. The RAC then voted unanimously to recommend renewal of institutional accreditation with conditions, as follows:

Renew the accreditation of the Elmezzi Graduate School of Molecular Medicine with conditions for a period of five years, with the provision that updates on responses to all recommendations are addressed in the institution's required annual reports, with particular attention to formalizing and implementing the noted assessment mechanisms.

Commissioner's Review

Neither the institution nor the Deputy Commissioner for Higher Education appealed the RAC's recommendation. Therefore, pursuant to Subpart 4-1 of Regents Rules, the Commissioner adopted the Council's recommendation as her recommendation to the Board of Regents.

The attachment to this item sets forth the range of accreditation actions authorized under Subpart 4-1 of the Rules of the Board of Regents.

Rules of the Board of Regents

Subpart 4-1, Voluntary Institutional Accreditation for Title IV Purposes

§4-1.2 Definitions.

As used in the Subpart:

- (a) Accreditation means the status of public recognition that the Commissioner of Education and the Board of Regents grant to an educational institution that meets the standards and requirements prescribed in this Subpart.
- (b) Accreditation action means accreditation, accreditation with conditions, probationary accreditation, approval of substantive changes in the scope of accreditation, and denial, revocation, or termination of accreditation.
- (c) Accreditation with conditions means accreditation that requires the institution to take steps to remedy issues raised in a review for accreditation, and provide reports and/or submit to site visits concerning such issues, provided that such issues do not materially affect the institution's substantial compliance with the standards and requirements for accreditation.
- (d) Adverse action or adverse accreditation action means suspension, withdrawal, denial, revocation, or termination of accreditation or pre-accreditation.

. . . .

(s) *Probationary accreditation* means accreditation for a period of time, not to exceed two years, during which the institution shall come into compliance with standards for accreditation through corrective action.

From NYSED's Handbook of Institutional Accreditation (p.5)

At a regularly scheduled public meeting, the Board of Regents considers the complete record of the accreditation process (including the institution's self-study, compliance review report, and the record of the RAC) and makes the final determination on accreditation action. Representatives of the applicant institution may be present at this meeting; however, they do not participate in discussion of their application. The Regents may act or may defer action pending further consideration by the Council or the receipt of additional information. If the Regents take adverse action as defined in Regents Rules §4-1.2(d) on an application for institutional accreditation or renewal of accreditation, a statement of the reason(s) for this action will be provided to the applicant institution.

Possible Accreditation Actions

• Accreditation without conditions. The institution is in full compliance with the standards for institutional accreditation. Any follow-up matters are not, in the judgment of the Regents, of a nature or scope that affects the institution's capacity to maintain adherence to the institutional accreditation standards for the period of

accreditation. Recommendations or any follow-up reports relate either to minor compliance matters or to the strengthening of practices that meet the standards of compliance. Accreditation without conditions may be for a period of up to ten years. Accreditation without conditions may apply to institutions seeking initial accreditation or renewal of accreditation.

- Accreditation with conditions. The institution is in substantial compliance with the standards for institutional accreditation. Any areas of non-compliance are not of such nature or scope as to call into question the institution's substantive adherence to the institutional accreditation standards during the term of accreditation. The institution has demonstrated the intent and capacity to rectify identified deficiencies and to strengthen practice in marginally acceptable matters within no more than two years. The institution will be required to take steps to remedy issues raised in the review for accreditation and to provide reports and/or submit to site visits concerning such issues. Accreditation with conditions may be for a period of up to ten years, contingent on a finding of compliance within no more than two years on any areas or deficiency cited in the Regents accreditation action. Accreditation with conditions may apply to institutions seeking initial accreditation or renewal of accreditation.
- Probationary accreditation. Probationary accreditation means accreditation for a set period of time, not to exceed two years, during which the institution shall come into compliance with standards for accreditation through corrective action. During this period, the institution provides documentation of compliance with standards, particularly all standards that were not met at the time of the Regents action. A follow-up visit by Department staff and/or peer reviewers may be required following provision of a required report. Probationary accreditation is only available to institutions seeking renewal of accreditation.
- Denial of accreditation. The institution does not meet standards for institutional accreditation and cannot reasonably be expected to meet those standards within two years. Denial of accreditation may apply to institutions seeking initial accreditation or renewal of accreditation.