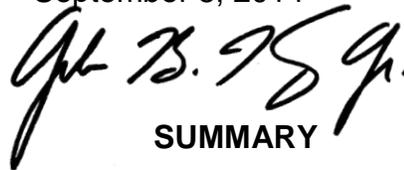




**TO:** Higher Education Committee  
**FROM:** John L. D'Agati   
**SUBJECT:** Renewal of Institutional Accreditation: Cold Spring Harbor Laboratory

**DATE:** September 8, 2014

**AUTHORIZATION(S):**

  
**SUMMARY**

### **Issue for Decision**

Should the Board of Regents grant renewal of institutional accreditation to Cold Spring Harbor Laboratory?

### **Reason(s) for Consideration**

Required by State regulation.

### **Proposed Handling**

The question will come before the Higher Education Committee at its September 2014 meeting, where it will be voted on and action taken. It will then come before the full Board at its September 2014 meeting for final action.

*Members of the Board of Regents with a conflict of interest or the appearance of a conflict of interest on this application are asked to recuse themselves from participating in the deliberation and decision.*

### **Procedural History**

On July 21, 2014, the Regents Advisory Council on Institutional Accreditation (RAC) met to consider the renewal of accreditation application of Cold Spring Harbor Laboratory. The Council's recommendation is hereby transmitted to the Board of Regents for consideration and final action.

## **Background Information**

Cold Spring Harbor Laboratory (CSHL) has applied to renew its institutional accreditation through the New York State Board of Regents and Commissioner of Education. CSHL is an independent institution and, through its Watson School of Biological Sciences, prepares graduate students in the fields of biological and biomedical research. CSHL offers a single Ph.D. course of study in Biological Sciences. This is expressed for State program registration purposes as a single M.S. program and a single Ph.D. program. (The M.S. program registration allows qualifying students to receive that award if they do not complete the Ph.D. program.)

CSHL was chartered by the Board of Regents on September 28, 1962, and received degree authority in 1998 in support of its graduate program. The Board of Regents last renewed the institution's accreditation on December 20, 2001.

## **Recommendation**

VOTED: That the Board of Regents renew the institutional accreditation of Cold Spring Harbor Laboratory for a period of seven years, with the provision that required annual reports address the recommendations in the compliance review report.

Attachment

## **Information in Support of Recommendation**

### Peer Review Visit

As part of the accreditation process, Cold Spring Harbor Laboratory (CSHL) completed a self-study and prepared supporting documentation. On October 31 and November 1, 2013, a team of peer reviewers visited the campus in Cold Spring Harbor to ascertain compliance with the standards of institutional accreditation. Site visit team members reviewed the institutional self-study, examined supporting documentation, interviewed members of the CSHL community (including faculty, staff, administrators, and students), toured facilities, and assessed resources.

In its report, the Team made a total of nine recommendations.

The Team found CSHL to be in compliance with standards (as defined under section 4-1.4 of Regents Rules) addressing institutional mission; resources; support services; admissions; and requirements addressing complaint, Title IV, teach out, and public disclosure responsibilities.

The Team found CSHL to be out of compliance with the following standards: assessment of student achievement; programs of study; faculty; administration; and consumer information.

Overall, the Team concluded that many of the issues regarding findings of non-compliance were technical and minor in nature and, while it was essential to cite those standards, the team also concluded that the institution has the understanding and resources to come into full compliance quickly with the noted areas of technical noncompliance.

The Department transmitted the draft team report to CSHL for review and comment. CSHL accepted the draft report's recommendations; identified five corrections; and immediately described actions and plans to address the recommendations. The compliance review report includes the draft team report, CSHL's response, and the Department's recommendation with respect to accreditation action.

Based on the self-study and other pertinent material, the team's report and CSHL's response, the Department found that matters requiring follow-up were of a nature and scope that does not affect CSHL's capacity to maintain adherence to the institutional accreditation standards. As a result, the Department's preliminary recommendation was accreditation for a period of seven years, with the provision that all recommendations are addressed in CSHL's required annual reports.

### Regents Advisory Council on Institutional Accreditation (RAC) Review

As required by Subpart 4-1 of the Regents Rules, the Department transmitted the final compliance review report and CSHL's self-study for consideration by the Regents Advisory Council. (The RAC is established in §3.12(d) of the Rules of the Board of

Regents “to review applications for accreditation and renewal of accreditation pursuant to Part 4 of this Title, and such other matters as the Department may ask it to review, and make recommendations to the Regents and the Commissioner based on its review.”)

On July 21, 2014, the RAC met to consider CSHL’s application. In a public meeting, it met with representatives of CSHL, a member of the peer review team, and Department staff.

The RAC members discussed their observations and asked questions of CSHL. CSHL responded to each of these questions. The RAC then voted unanimously to recommend renewal of institutional accreditation, as follows:

***Renew the accreditation of Cold Spring Harbor Laboratory for a period of seven years, with the provision that all recommendations are addressed in the institution’s required annual reports.***

#### Commissioner’s Review

Neither CSHL nor the Deputy Commissioner for Higher Education appealed the RAC’s recommendation. Therefore, pursuant to Subpart 4-1 of Regents Rules, the Commissioner adopted the Council’s recommendation as his recommendation to the Board of Regents.

The attachment to this item sets forth the range of accreditation actions authorized under Subpart 4-1 of the Rules of the Board of Regents.

**Rules of the Board of Regents**

Subpart 4-1, Voluntary Institutional Accreditation for Title IV Purposes

§4-1.2 Definitions.

As used in the Subpart:

(a) *Accreditation* means the status of public recognition that the Commissioner of Education and the Board of Regents grant to an educational institution that meets the standards and requirements prescribed in this Subpart.

(b) *Accreditation action* means accreditation, accreditation with conditions, probationary accreditation, approval of substantive changes in the scope of accreditation, and denial, revocation, or termination of accreditation.

(c) *Accreditation with conditions* means accreditation that requires the institution to take steps to remedy issues raised in a review for accreditation, and provide reports and/or submit to site visits concerning such issues, provided that such issues do not materially affect the institution's substantial compliance with the standards and requirements for accreditation.

(d) *Adverse action or adverse accreditation action* means suspension, withdrawal, denial, revocation, or termination of accreditation or pre-accreditation.

....

(s) *Probationary accreditation* means accreditation for a period of time, not to exceed two years, during which the institution shall come into compliance with standards for accreditation through corrective action.

**From NYSED's Handbook of Institutional Accreditation (p.5)**

At a regularly scheduled public meeting, the Board of Regents considers the complete record of the accreditation process (including the institution's self-study, compliance review report, and the record of the RAC) and makes the final determination on accreditation action. Representatives of the applicant institution may be present at this meeting; however, they do not participate in discussion of their application. The Regents may act or may defer action pending further consideration by the Council or the receipt of additional information. If the Regents take adverse action as defined in Regents Rules §4-1.2(d) on an application for institutional accreditation or renewal of accreditation, a statement of the reason(s) for this action will be provided to the applicant institution.

**Possible Accreditation Actions**

- ***Accreditation without conditions.*** The institution is in full compliance with the standards for institutional accreditation. Any follow-up matters are not, in the judgment of the Regents, of a nature or scope that affects the institution's capacity to maintain adherence to the institutional accreditation standards for the period of

accreditation. Recommendations or any follow-up reports relate either to minor compliance matters or to the strengthening of practices that meet the standards of compliance. Accreditation without conditions may be for a period of up to ten years. Accreditation without conditions may apply to institutions seeking initial accreditation or renewal of accreditation.

- **Accreditation with conditions.** The institution is in substantial compliance with the standards for institutional accreditation. Any areas of non-compliance are not of such nature or scope as to call into question the institution's substantive adherence to the institutional accreditation standards during the term of accreditation. The institution has demonstrated the intent and capacity to rectify identified deficiencies and to strengthen practice in marginally acceptable matters within no more than two years. The institution will be required to take steps to remedy issues raised in the review for accreditation and to provide reports and/or submit to site visits concerning such issues. Accreditation with conditions may be for a period of up to ten years, contingent on a finding of compliance within no more than two years on any areas or deficiency cited in the Regents accreditation action. Accreditation with conditions may apply to institutions seeking initial accreditation or renewal of accreditation.
- **Probationary accreditation.** Probationary accreditation means accreditation for a set period of time, not to exceed two years, during which the institution shall come into compliance with standards for accreditation through corrective action. During this period, the institution provides documentation of compliance with standards, particularly all standards that were not met at the time of the Regents action. A follow-up visit by Department staff and/or peer reviewers may be required following provision of a required report. Probationary accreditation is only available to institutions seeking renewal of accreditation.
- **Denial of accreditation.** The institution does not meet standards for institutional accreditation and cannot reasonably be expected to meet those standards within two years. Denial of accreditation may apply to institutions seeking initial accreditation or renewal of accreditation.