

**OFFICE OF MANAGEMENT SERVICES  
FINANCIAL STATUS AS OF OCTOBER 31, 2003**

← SFY 03-04 →

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	
Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End	One Time Adjustment	
<b>GENERAL FUND</b>										
Personal Service	12,295,769	12,295,769	5,686,328	6,609,441	12,295,769	0	0			
Nonpersonal Service	7,919,885	7,919,885	1,810,586	6,109,299	7,919,885	0	0			
Subtotal	20,215,654 (a)	20,215,654	7,496,914	12,718,740	20,215,654	0	0			
<b>SPECIAL REVENUE</b>										
Cost Recovery Account	1,353,741	16,250,000	17,603,741	7,290,196	9,821,301	17,111,497	(861,497)	2,532,116	492,244	(3,393,613)
Automation and Printing	(255,013)	12,000,000	11,744,987	5,601,678	6,073,452	11,675,130	324,870	324,870	69,857	
Subtotal	1,098,728	28,250,000	29,348,728	12,891,874	15,894,753	28,786,627	(536,627)	2,856,986	562,101	(3,393,613)
State Operations Total:	21,314,382	28,250,000	49,564,382	20,388,788	28,613,493	49,002,281	(536,627)	2,856,986	562,101	
<b>OTHER RETIREMENT SYSTEMS</b>										
	1,190,000		1,190,000	440,003	749,997	1,190,000	0	0		
<b>FEDERAL FUNDS</b>										
<i>October - September</i>										
Personal Service		33,500	0	33,500	33,500			0		
Nonpersonal Service		0	0	0	0			0		
Mandated Costs		0	0	0	0			0		
Subtotal		33,500	0	33,500	33,500			0		
<i>July - June Programs</i>										
Personal Service		2,325,446	0	2,325,446	2,325,446			0		
Nonpersonal Service		117,500	0	117,500	117,500			0		
Mandated Costs		0	0	0	0			0		
Subtotal		2,442,946	0	2,442,946	2,442,946			0		

(a) Includes the enacted 2003-04 State budget restoration of \$5.1 million cut from the Executive budget for management efficiencies and streamlining, for a net reduction of \$3 million in 2003-04. These funds will be used to sustain Department-wide operations

**ELEMENTARY, MIDDLE, SECONDARY, AND CONTINUING EDUCATION  
FINANCIAL STATUS AS OF OCTOBER 31, 2003**

*SFY 03-04*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End	One Time Adjustment
<b>GENERAL FUND</b>										
Personal Service	9,788,984		9,788,984	6,462,668	3,326,316	9,788,984	0	0		
Nonpersonal Service	7,649,762		7,649,762	2,581,452	5,068,310	7,649,762	0	0		
Subtotal	17,438,746		17,438,746	9,044,119	8,394,627	17,438,746	0	0		
<b>FEDERAL FUNDS</b>										
<i>October-September Programs</i>										
Personal Service			2,579,871	10,439	2,569,432	2,579,871			0	
Nonpersonal Service			2,104,555	0	2,104,555	2,104,555			0	
Mandated Costs			1,889,937	0	1,889,937	1,889,937			0	
Subtotal			6,574,363	10,439	6,563,924	6,574,363			0	
<i>July-June Programs</i>										
Personal Service			11,641,474	2,049,577	9,591,897	11,641,474			0	
Nonpersonal Service			32,510,913	0	32,510,913	32,510,913			0	
Mandated Costs			7,026,436	0	7,026,436	7,026,436			0	
Subtotal			51,178,823	2,049,577	49,129,246	51,178,823			0	
<b>SPECIAL REVENUE</b>										
Summer Institutes	131,794	677,860	809,654	81,001	683,859	764,860	(87,000)	0	44,794	(87,000)
High School Equivalency (GED)	218,299	200,000	418,299	5,421	194,579	200,000	0	0	218,299	0

**OFFICE OF HIGHER EDUCATION  
FINANCIAL STATUS AS OF OCTOBER 31, 2003**

← SFY 03-04 →

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)		
Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End		
<b>GENERAL FUND</b>										
Personal Service	3,085,213 (a)	3,085,213	1,683,579	1,401,634	3,085,213	0	0			
Nonpersonal Service- Regular	618,099	618,099	112,289	505,810	618,099	0	0			
Nonpersonal Service- Tenured Teacher Hearings	1,150,000	1,150,000	1,005,721	844,279	1,850,000 (b)	(700,000)	(700,000)			
<b>Subtotal</b>	<b>4,853,312</b>	<b>4,853,312</b>	<b>2,801,589</b>	<b>2,751,723</b>	<b>5,553,312</b>	<b>(700,000)</b>	<b>(700,000)</b>			
<b>FEDERAL FUNDS</b>										
<i>October-September Programs</i>										
Personal Service		0	0	0	0			0		
Nonpersonal Service		0	0	0	0			0		
Mandated Costs		0	0	0	0			0		
<b>Subtotal</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			<b>0</b>		
<i>July-June Programs</i>										
Personal Service		949,437	70,302	879,135	949,437			0		
Nonpersonal Service		395,043	0	395,043	395,043			0		
Mandated Costs		281,613	0	281,613	281,613			0		
<b>Subtotal</b>		<b>1,626,093</b>	<b>70,302</b>	<b>1,555,791</b>	<b>1,626,093</b>			<b>0</b>		
<b>SPECIAL REVENUE</b>										
Proprietary - Supervision	(61,213)	2,085,000	2,023,787	1,035,599	690,401	1,726,000	359,000	359,000	297,787	
Proprietary - Tuition Reimbursement	1,529,991	185,000	1,714,991	228,731	1,471,269	1,700,000	(1,515,000)	0	14,991	(1,515,000)
Office of Teacher Certification	2,639,778	5,377,887	8,017,665	3,604,175	3,492,098	7,096,273	(1,718,386)	55,010	921,392	(1,773,396)
Regents Accreditation of Teacher Education Programs	19,859	179,596	199,455	21,878	157,718	179,596	0	0	19,859	0

(a) Includes \$150,000 for tenured teacher hearings

(b) Reflects the projected level of expenditures through the end of the State fiscal year based upon year-to-date expenditures and prior year trend.

**OFFICE OF CULTURAL EDUCATION  
FINANCIAL STATUS AS OF OCTOBER 31, 2003**

SFY 03-04

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	
Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End	One Time Adjustment	
<b>GENERAL FUND</b>										
Personal Service	0		0	0	0	0	0		0	
Nonpersonal Service	0		0	0	0	0	0			
Subtotal	0		0	0	0	0	0			
<b>FEDERAL FUNDS</b>										
<i>October-September Programs</i>										
Personal Service		3,345,462	0	3,345,462	3,345,462			0		
Nonpersonal Service		1,153,832	0	1,153,832	1,153,832			0		
Mandated Costs		1,370,943	0	1,370,943	1,370,943			0		
Subtotal		5,870,237	0	5,870,237	5,870,237			0		
<i>July-June Programs</i>										
Personal Service		48,225	14,917	33,308	48,225			0		
Nonpersonal Service		0	0	0	0			0		
Mandated Costs		21,802	0	21,802	21,802			0		
Subtotal		70,027	14,917	55,110	70,027			0		
<i>April-March Programs</i>										
Personal Service		0	0	0	0			0		
Nonpersonal Service		0	0	0	0			0		
Mandated Costs		0	0	0	0			0		
Subtotal		0	0	0	0			0		
<b>SPECIAL REVENUE</b>										
Cultural Education Account	349,875	31,350,000	31,699,875	14,749,971	9,485,063	24,235,034	7,114,966	7,114,966	7,464,841	0
Local Government Records										
Management Improvement Fund	0	3,453,000	3,453,000	2,004,679	1,448,321	3,453,000	0	0	0	0
Records Management Program	1,061,527	1,250,000	2,311,527	457,498	774,102	1,231,600	18,400	18,400	1,079,927	0
Records Management Program - Bad Debt	(1,910,686) (a)	0	(1,910,686)	0	0	0	0	0	(1,910,686)	0
Cultural Resource Survey Account	0	3,500,000	3,500,000	1,789,191	1,210,809	3,000,000	500,000	500,000	500,000	0
Education Museum Account	221,292	1,025,000	1,246,292	185,277	814,723	1,000,000	25,000	25,000	246,292	0
Education Archives Account	96,473	34,000	130,473	0	34,000	34,000	0	0	96,473	0
Education Library Account	463,862	75,000	538,862	66,111	8,889	75,000	0	0	463,862	0
Grants and Bequests	303,606	15,140	318,746	0	13,000	13,000	2,140	2,140	305,746	0
Archives Partnership Trust	270,828 (b)	807,087	1,077,915	209,476	530,924	740,400	66,687	96,687	337,515	(30,000)

(a) This balance represents a pre-existing structural deficit resulting from the failure of other State agencies to pay fees prior to 1996-97 (\$350,311) and inadequate fee revenues from 1987 through 1998 (\$1,560,375). SED has asked the Division of the Budget to forgive this debt. SED will continue to try and collect these fees until such time as the debt is forgiven

(b) Excludes endowment funds.

**OFFICE OF THE PROFESSIONS**  
**FINANCIAL STATUS AS OF OCTOBER 31, 2003**

*SFY 03-04*

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	
Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End	One Time Adjustment	
<b>GENERAL FUND</b>										
Personal Service	0		0	0	0	0	0			
Nonpersonal Service	0		0	0	0	0	0			
Subtotal	0		0	0	0	0	0			
<b>FEDERAL FUNDS</b>										
<i>July-June Programs</i>										
Personal Service		1,117,716	279,288	838,428	1,117,716			0		
Nonpersonal Service		166,000	0	166,000	166,000			0		
Mandated Costs		0	0	0	0			0		
Subtotal		1,283,716	279,288	1,004,428	1,283,716			0		
<b>SPECIAL REVENUE</b>										
Office of the Professions (a)	10,745,261 (b)	33,268,985 (c)	44,014,246	19,728,178	21,049,184	40,777,362 (d)	(7,508,377) (e)	(841,377) (f)	3,236,884 (g)	(6,667,000)

- (a) Includes the foreign and out-of-state medical school evaluation program
- (b) Includes revenue of \$2.2 million to be transferred to the Department of Health
- (c) Revenue based on a three year average
- (d) Includes a \$5 million transfer to the General Fund
- (e) This imbalance is the result \$1,667,000 in one-time expenditures and a \$5 million transfer to the General Fund
- (f) This imbalance will be corrected in 2004-2005 through increased fee revenue (new professions) or reduced program expenditures
- (g) If all outstanding obligations were processed immediately, a balance of approximately \$500,000 would remain

**VOCATIONAL AND EDUCATIONAL SERVICES FOR INDIVIDUALS WITH DISABILITIES  
FINANCIAL STATUS AS OF OCTOBER 31, 2003**

*SFY 03-04*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End	One Time Adjustment
<b>GENERAL FUND</b>										
Personal Service	122,888		122,888	0	122,888	122,888	0	0		0
Nonpersonal Service	0		0	0	0	0	0	0		0
Subtotal	122,888		122,888	0	122,888	122,888	0	0		0
<b>FEDERAL FUNDS</b>										
<i>October-September Programs</i>										
Personal Service			38,250,000	0	38,250,000	38,250,000			0	
Nonpersonal Service			9,250,000	0	9,250,000	9,250,000			0	
Mandated Costs			23,083,000	0	23,083,000	23,083,000			0	
Subtotal			70,583,000	0	70,583,000	70,583,000			0	
<i>July-June Programs</i>										
Personal Service			8,273,691	507,848	7,765,843	8,273,691			0	
Nonpersonal Service			11,622,094	0	11,622,094	11,622,094			0	
Mandated Costs			9,587,652	0	9,587,652	9,587,652			0	
Subtotal			29,483,437	507,848	28,975,589	29,483,437			0	
<b>SPECIAL REVENUE</b>										
Workers' Compensation	701,095	250,000	951,095	728,589	183,411	912,000	(662,000) (a)	0	39,095	(662,000)
State School for the Blind at Batavia	0	7,357,900	7,357,900	4,776,473	2,581,427	7,357,900	0	0	0	
State School for the Deaf at Rome	0	7,426,000	7,426,000	2,954,593	4,471,407	7,426,000	0	0	0	
Batavia Intermediate Care Facility	0	2,650,000	2,650,000	1,982,592	667,408	2,650,000	0	0	0	

(a) This imbalance is the result of normal cash flow and the use of prior year funds to meet current year one-time obligations

**DEPARTMENT GRAND TOTALS**  
**FINANCIAL STATUS AS OF OCTOBER 31, 2003**

*SFY 03-04*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Available Funds on 4/1/03	2003-2004 Projected Revenue	Cumulative Projected Revenue 2003-2004	Actual Expenditures Through 10/31/03	Projected Expenditures to Program Period End	Total Expenditures Actual and Projected	2003-2004 Projected Revenue vs Expenditures	Projected Structural Balance at 3/31/04	Cumulative Projected Balance at Program Period End
<b>GENERAL FUND</b>									
Personal Service	25,292,854		25,292,854	13,832,575	11,460,279	25,292,854	0	0	
Nonpersonal Service	17,337,746		17,337,746	5,510,048	12,527,698	18,037,746	(700,000)	(700,000)	
Other Retirement Systems	1,190,000		1,190,000	440,003	749,997	1,190,000	0	0	
Subtotal	43,820,600		43,820,600	19,782,626	24,737,974	44,520,600	(700,000)	(700,000)	
<b>SPECIAL REVENUE</b>									
All Accounts	17,880,370	129,417,455	147,297,825	67,501,308	65,857,345	133,358,652	(3,941,197)	10,186,812	13,939,172
Subtotal									(14,128,009)
<b>FEDERAL FUNDS</b>									
Personal Service			68,564,822	2,932,370	65,632,452	68,564,822			0
Nonpersonal Service			57,319,937	0	57,319,937	57,319,937			0
Mandated Costs			43,261,383	0	43,261,383	43,261,383			0
Subtotal			169,146,142	2,932,370	166,213,772	169,146,142			0