

# Part I

## 2018-19 Regents State Aid Proposal



October 16, 2017

# Key Discussion Questions

- **What will the Board priorities be for the coming year?**
  - **Foundation Aid Phase-in:** Should a fixed Foundation Aid phase-in schedule be proposed over a particular period?
  - **Formula Structure:** Are there any structural changes to the Foundation Aid formula that should be considered?
  - **Weightings:** Should any of the current weightings in the Foundation Aid formula, such as for SWD, ELL or poverty status, be adjusted, or additional weightings be added?
  - **Categorical Funds:** Are categorical aid funds aligned to a specific purpose and being used in a consistent manner?
- **What other policies should be considered?**

# Aid Progressivity: How much more funding per pupil do high need districts receive?

District	Foundation Aid Per Pupil	Other State Aid Per Pupil	Expenditures Per Pupil
Statewide	\$6,187	\$2,911	\$21,487
New York City	\$6,655	\$2,426	\$20,846
Buffalo	\$11,902	\$5,519	\$18,648
Rochester	\$12,199	\$5,308	\$19,555
Carthage	\$8,820	\$3,859	\$17,807
Scarsdale	\$685	\$723	\$28,977
East Hampton	\$1,047	\$657	\$34,657

# Foundation Aid Phase-in: How much additional funding per pupil will select districts receive when fully phased-in?

District	2017-18 Foundation Aid	Remaining Phase-in	Remaining Phase-in Per Pupil
Statewide	\$17,171,512,078	\$3,651,770,038	\$1,316
New York City	\$7,450,766,160	\$1,546,451,591	\$1,381
Buffalo	\$510,902,620	\$60,360,052	\$1,406
Rochester	\$422,046,397	\$75,662,982	\$2,187
Carthage	\$28,841,627	\$6,191,130	\$1,893
Scarsdale	\$3,401,095	\$0	\$0
East Hampton	\$1,990,647	\$0	\$0

# Part II

## 2018-19 Legislative and Budget Priorities



October 16, 2017

# The 4 E's of SED

<b>1.</b>	<b>Equity</b>
<b>2.</b>	<b>Early Learning</b>
<b>3.</b>	<b>ESSA</b>
<b>4.</b>	<b>Efficiency</b>

# Equity Defined

“All children, especially those living in impoverished conditions, having equitable access to high quality schools and programs.”

-- NYS Board of Regents Research Workgroup  
on Integration, Equity and Diversity

# Equity

## Passage of 2017-18 Board Priority

During this past 2017 legislative session, the Board's priority proposal regarding the modernization of **Reader's Aid** funding passed both houses (S.4831a LaValle/A.6515a Nolan).

- The **Reader's Aid Program**—which provides funding to colleges and universities to use on behalf of their students who are blind, deaf, deaf-blind, or hard of hearing—had not been updated since enactment in 1936.
- The Board recognized the need to reflect the challenges faced by such students in the 21<sup>st</sup> century.
- This bill, if signed into law, would expand the annual aid cap from \$1,000 to \$4,000 per student, the allowable use of existing funding, and participating schools.

The bill was delivered to the Governor on October 11<sup>th</sup>. He has 10 days to act on the bill.



# Equity

## 2017-18 Budget Priorities

<b>P-12</b>	<b>Enhancing the Achievement of ELLs -</b> Supporting Native Language Arts (NLA) test development and 3-8 and Regents exam translations in order to test student content and language mastery, not just student proficiency in English.	NLA \$12.4M  Translations \$1M	Aspects of these proposals are included as part of the State's ESSA plan.
<b>OCE</b>	<b>Public Library Construction -</b> Increasing funding to better ensure that all New Yorkers continue to have access to state-of-the-art libraries.	\$6M	The Board historically requests an increase for this program. For two years, the Enacted Budget has included an increase of \$5 million.
<b>ACCES</b>	<b>Bridge to College &amp; Careers Pilot Program -</b> Bridge programs enable out-of-school youth and adults to obtain critical basic skills and high school equivalency diplomas which would lead to programs resulting in industry-recognized credentials for employment or a college degree.	\$10M	

# Equity

## 2017-18 Budget Priorities

<p><b>HE</b></p>	<p><b>Arthur O. Eve Higher Education Opportunity Program (HEOP) -</b> HEOP provides financial aid, pre-freshman summer programs, remedial/developmental courses, tutoring, and counseling to students attending independent colleges. HEOP students, who do not meet the traditional academic criteria when they are admitted to college, typically earn their degrees at rates that equal or exceed general admission students.</p> <p><b>Science and Technology Entry Program (STEP) -</b> STEP provides academic enrichment in science and mathematics with the purpose of increasing the number of historically underrepresented and economically disadvantaged students prepared to enter college, and improving their participation rate in mathematics, science, technology, health-related fields and the licensed professions.</p> <p><b>Collegiate Science and Technology Entry Program (CSTEP) -</b> CSTEP provides academic enrichment and research experience in STEM content areas in order to increase the number of historically underrepresented and economically disadvantaged undergraduate and graduate students who complete programs of study that lead to professional licensure and to careers in mathematics, science, technology, and health-related fields.</p> <p><b>Liberty Partnerships Program (LPP):</b> LPP offers comprehensive pre-collegiate/dropout prevention programs and services.</p>	<p>\$11.67M</p>	<p>The Regents have historically requested an increase in Higher Education Opportunity Programs funding</p>
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# Equity

## 2017-18 Legislative Priorities

<p><b>P-12</b></p>	<p><b>Tenure/Seniority Protections for Bilingual and ESOL Teachers</b> - Require districts, in the event of an abolition of a position, to excess the teacher/teaching assistant with the least seniority in the tenure area of the position abolished, except where the retention of a less senior teacher/teaching assistant fluent in a specific language is necessary for the school to provide required bilingual/ESOL instruction.</p>	<p>Legislation was introduced in both houses (S.6486 Marcellino/A.6512 Nolan).</p>
<p><b>HE</b></p>	<p><b>DREAMers</b> - Eliminate provisions in state law that require students to be a US citizen or permanent lawful resident to receive general awards, including TAP, academic performance awards, scholarships or other financial assistance.</p>	<p>A proposal similar to the Department's bill was included in the Executive's proposed 2017-18 budget and similar Legislation passed the Assembly again this past session, but did not move out of the Education Committee in the Senate (S.471a Peralta/A.3039 Moya).</p>
<p><b>OCE</b></p>	<p><b>Museum Education Act</b> - Establish competitive grants to support cultural institutions that seek to establish or improve museum education programs designed to improve and support student learning opportunities, including supporting the development of local curricular aids.</p>	<p>Legislation passed the Senate for the first time this past session and moved through the Assembly Tourism Committee, but did not make it out of Assembly Ways and Means (S.1676 Little/A.3892 Titone).</p>

# Early Learning

## Enactment of 2017-18 Board Priority

Last year the Board approved a legislative priority to **Streamline Prekindergarten Programs**, consolidating the seven distinct programs by expanding the allocation process that has been used for the Universal Prekindergarten Program since 1997 to all prekindergarten programs.

- The enacted budget provided for this multi-year consolidation of prekindergarten programs (with the exception of the \$340 million Statewide Universal Full-Day Prekindergarten Program) into an allocational program, which represents a significant long-term state funding investment in school district prekindergarten programs instead of temporary 5-year competitive grant programs.
- In addition, \$5 million for additional grants to support prekindergarten expansion was included in the enacted budget. The Regents included a \$100 million investment in preschool as part of the 2017-2018 State Aid request.

# Early Learning

This year, the Board established the Blue Ribbon Committee on Early Learning. The stated charge of each Blue Ribbon Committee workgroup is to:

“develop and propose a series of policy recommendations that reflect informed judgement, innovative best practices and collaborative efforts that will result in New York State having the opportunity to implement high-quality early childhood and education programs with highly-effective educators that will help our youngest learners get a healthy and great start to become ready for Kindergarten, stay on track to graduate from high school, and be successful in life.”

Priorities include:

1. Appropriate Standards, Curriculum, and Assessment
2. Comprehensive Family and Community Engagement
3. Comprehensive and Coordinated Support Services that Support the Full Range of Developmental Pathways Among All Early Childhood Service Providers
4. Recruitment, Preparation and Professional Development of High Quality Teachers and Leaders Across All Programs
5. Sustained and Coordinated Funding Structure
6. Coherent and Comprehensive Early Childhood Policy
7. High Quality Instructional Programs and Services
8. Quality, Evaluation, and Accountability

# ESSA

In compliance with the federal Every Student Succeeds Act the State's new school accountability plan was sent to the federal government for approval in September.

- The plan emphasizes fostering equity in education for New York's students; expands measures for school support and accountability and student success; and requires school-level improvement plans for the lowest performing schools overall as well as schools with the lowest performance for certain student populations.
- The plan also includes strategies for supporting the professional growth of educators and ensuring that all students, including English language learners/multilingual learners, immigrant students, migratory youth, homeless youth, and neglected and delinquent youth, have access to a well-rounded, culturally responsive education that supports their academic and social-emotional development.

# Efficiency

The New York State Education Department's **mission** is “to raise the knowledge, skill, and opportunity of all the people in New York. Our vision is to provide leadership for a system that yields the best educated people in the world.”

- Efficiency includes actions that will enhance and streamline core Department and school district functions and enable the ongoing pursuit of our mission and the Board's identified policy priorities.

# Efficiency

## Enactment of Previous Board Priorities

Last year the Board approved budget priorities to request \$2.7 million in initial funding for **State Aid Modeling, Facilities Planning and Special Education and Related Services Data Systems** which consisted of conducting the analysis and stakeholder outreach necessary to develop a roadmap-inclusive of cost and resource need estimates- and architectural design of IT system development and improvements.

State Aid Modeling	Facilities Planning	Special Education and Related Services
The Department oversees the statistical data modeling of nearly \$24 billion in state aid to public school districts annually. The current system is highly accurate and effective but was developed over 30 years ago. The program, written in COBOL, currently runs on a dated Unisys mainframe reaching the limits of its useful life.	The software is used to review, approve, and issue building permits and assist in the calculation of building aid for public school district capital construction projects. The system runs on an obsolete DOS-based platform which is no longer supported by the company and there is a substantial risk that SED will be unable to run it in the near future or the maximum number of records supported by the software will be exceeded.	Although the Department has various data systems, they are not consolidated or coordinated into one database that can be shared among the multiple program offices which have oversight responsibilities in ensuring that the State is in compliance with federal requirements under IDEA. Additionally, there is no platform to publicly report educational and cost data for the benefit of external stakeholders.

The enacted budget included \$2 million for the initial stages of development and improvement for all of these systems. Staff at the Department will pursue the continuation of this funding and any identified additional resource needs identified during this process.



# Efficiency

## Passage of 2017-18 Board Priority

**Institutional Accreditation** - Last year the Board approved a budget priority seeking authorization for the Department to spend the fees related to supporting accreditation services, which would include the addition of dedicated accreditation staff (3.5 FTEs) as well as expenses incurred in order to support and enhance conducting accreditation of institutions of higher education.

- Both houses last session passed the SED Departmental bill creating a mechanism for the Department to collect and spend fees for accreditation to support this important work. (S.6780 LaValle/A.8491 Nolan).
- The federal government has recognized the Regents and the Commissioner as a national institutional accrediting agency since 1952. The Department is currently in the application process to continue this recognition. Without this bill and a commitment for funding the 3.5 FTEs and other expenses necessary to do this work, the Department will have to pull the federal application prior to travelling to Washington, D.C. to defend it in December.

# Efficiency

## 2017-18 Budget Priorities

<b>SED-wide</b>	<b>Building Oversight and Support Capacity at SED</b> - Creation of a 5% set-aside within all new programs for administrative oversight and technical assistance, as is common in federal grants and programs.	5% set-aside	Pursue as new programs are established.
<b>HE</b>	<b>Expansion of Shanker Grant</b> - Expanding access to National Board for Professional Teaching Standards (National Board) certification, which allows teachers to hone their practice, provides professional development, and enhances teacher effectiveness.	\$400,000	The Assembly included \$400,000 in their One House budget proposal last year.
<b>OP</b>	<b>Electronic Licensing System Development</b> - Replace a 35 year old COBOL-based licensing system and enhance our customer experience through use of funds resulting from the 15% registration fee increase authorized by the Legislature in 2009.	\$4.3M in Spending Authority	The Assembly included this provision in their One House budget proposal.

# Efficiency

## 2017-18 Legislative Priorities

<p><b>P-12</b></p>	<p><b>Establishing a Tuition Rate Setting Index -</b> Establish a statutory growth index based on an average of state personal income growth to establish predictable and timely tuition increases and allow for improved budget planning. In addition, the proposal would authorize providers to establish a general reserve fund.</p>	<p>Legislation passed the Assembly and moved through the Education Committee in the Senate to Rules (S.5246a Marcellino/A.6514a Nolan).</p>
<p><b>P-12</b></p>	<p><b>Mandate Relief for School Districts -</b> Eliminate or reduce a significant number of statutory mandates and provide greater flexibility relating to curriculum, transportation, educational management services, and special education.</p>	<p>Legislation was introduced in the Senate and Assembly (S.5247 Marcellino/A.6513 Nolan).</p>
<p><b>P-12</b></p>	<p><b>Accelerating State Aid Claims -</b> Allow the Department to net out prior year adjustments owed to school districts in the instance of any school aid overpayment recoveries.</p>	<p>Legislation passed the Senate, but was not introduced in the Assembly (S.4832 Marcellino)</p>

# Efficiency

## 2017-18 Legislative Priorities

<p><b>P-12</b></p>	<p><b>Regional Secondary Schools Advisory Council -</b> Establish a temporary advisory council composed of legislative and advocacy stakeholders tasked with developing a legislative proposal to establish regional high schools.</p>	<p>New legislation was introduced in both houses and moved through the Assembly Education, but did not make it out of the Assembly Ways &amp; Means Committee (S.6485 Marcellino/A.7934 Nolan)</p>
<p><b>OP</b></p>	<p><b>Enhanced Discipline Authority of the Licensed Professions -</b> Add moral character requirements to the professions that currently do not have them, require that licensees notify the Department of a crime or pending conviction of a crime rather than when applying for registration/re-registration every 2-3 years, create a summary suspension of a license when there are serious public health and safety issues.</p>	<p>Legislation passed the Senate again this past session, but was not introduced in the Assembly (S.1380a LaValle).</p>

# Next Steps

Consideration of the continuation or modification of previous Board Legislative and Budget priorities and new proposals for the Regents to consider for discussion in the 2018 priorities development process will be brought to relevant committees in November or December (such as those coming from the NYS Blue Ribbon Committee on Early Learning) for discussion and adoption as appropriate.

**Thank  
You**