

THE STATE EDUCATION DEPARTMENT / THE UNIVERSITY OF THE STATE OF NEW YORK / ALBANY, NY 12234

TO:

The Honorable the Members of the Board of Regents

FROM:

DATE:

Tony Lofrumento anthony Lofrumento Je

SUBJECT:

Summary of the November 2020 Meeting December 3, 2020

AUTHORIZATION(S):

SUMMARY

Issue for Decision

Should the Board of Regents approve the Summary of the November 2020 Meeting of the Board of Regents?

Reason(s) for Consideration

Not applicable.

Proposed Handling

This issue will come before the Full Board for action at its November 2020 meeting.

Procedural History

This document summarizes the actions of the Board of Regents during the monthly meeting and is brought before the Board the following month for approval.

Background Information

Not applicable.

Related Regents Items

Not applicable.

Recommendation

Approval of the Summary of the November 2020 meeting.

VOTED, that the Summary of the November 2020 Meeting of the Board of Regents of The University of the State of New York be approved.

Timetable for Implementation

Effective December 14, 2020.



SUMMARY OF THE NOVEMBER 2020 MEETING

OF THE BOARD OF REGENTS

OF

THE UNIVERSITY OF THE STATE OF NEW YORK

Held by Video Conference

November 16, 2020

Anthony Lofrumento, Secretary Board of Regents

PROFESSIONAL PRACTICE COMMITTEE MEETING

The Professional Practice Committee held its scheduled meeting on November 16, 2020. All members of the Committee were present (See Professional Practice Committee Report.)

THE BOARD OF REGENTS

The Board of Regents of The University of the State of New York held a public session by video conference on Monday, November 16, 2020 at 9:15 a.m. pursuant to a call to duty sent to each Regent.

MEETING OF THE FULL BOARD, Monday, November 16th at 10:00 a.m.

Board Members in Attendance:

T. Andrew Brown, *Vice Chancellor* Roger Tilles Lester W. Young, Jr. Christine D. Cea Wade S. Norwood James E. Cottrell Josephine Victoria Finn Judith Chin Catherine Collins Nan Eileen Mead Elizabeth S. Hakanson Luis O. Reyes Susan W. Mittler Frances G. Wills

Also present were Interim Commissioner, Betty A. Rosa; Acting Counsel and Deputy Commissioner for Legal Affairs, Aaron Baldwin; and Secretary, Board of Regents, Anthony Lofrumento. Regents Kathleen M. Cashin and Beverly L. Ouderkirk were absent and excused.

Vice Chancellor Brown called the meeting to order and reviewed ground rules for the video conference.

REFLECTION

Regent Nan Eileen Mead provided words of reflection and comments on her meeting with students from the Wadleigh Secondary School for the Performing and Visual Arts regarding racial bias displayed throughout the US History Regents Exam.

Vice Chancellor Brown provided comments in recognition of the National Education Association (NEA) having designated this week as "American Education Week".

Vice Chancellor Brown also acknowledged that Newburgh Enlarged City School District Superintendent Dr. Roberto Padilla has been named as the 2021 New York State Superintendent of the Year by the New York State Council of School Superintendents.

ACTION ITEM

Executive Session Motion

MOVED, that the Board of Regents convene in executive session on Monday, November 16th at 9:30 a.m. to discuss personnel matters.

Motion by:	Regent Roger Tilles
Seconded by:	Regent Luis O. Reyes
Action:	Motion carried unanimously.

The Board went into Executive Session.

MEETING OF THE FULL BOARD, Monday, November 16th at 10:30 a.m.

Board Members in Attendance:

T. Andrew Brown, *Vice Chancellor* Roger Tilles Lester W. Young, Jr. Christine D. Cea Wade S. Norwood James E. Cottrell Josephine Victoria Finn Judith Chin Catherine Collins Nan Eileen Mead Elizabeth S. Hakanson Luis O. Reyes Susan W. Mittler Frances G. Wills

Also present were Interim Commissioner, Betty A. Rosa; Acting Counsel and Deputy Commissioner for Legal Affairs, Aaron Baldwin; and Secretary, Board of Regents, Anthony Lofrumento. Regents Kathleen M. Cashin and Beverly L. Ouderkirk were absent and excused.

Vice Chancellor Brown called the meeting to order.

PRESENTATION

Supporting the Whole Child in a Pandemic

Kathleen DeCataldo, Marybeth Casey, Anael Alston, Elisa Alvarez, Chris Suriano and Allison Armour-Garb presented guidance, initiatives, and collaborations undertaken to support students, their families and their school communities in response to the pandemic and new law.

Amy Malloy, of the School Mental Health Resource Center presented regarding its response to the increased need for mental health and trauma related supports since the pandemic (Attachment III).

ACTION ITEM

Proposed Amendments to Sections 52.3, 52.21, 57-4.5, 70.4, 74.6, 75.2, 75.5, 76.2, 79-9.3, 79-10.3, 79-11.3, 79-12.3, 801.13, 80-1.5, 80-3.15, 80-4.3, 83.5, 87.2, 87.5,

100.2, 100.4, 100.5, 100.6, 100.10, 100.21, 119.1, 119.5, 125.1, 151-1.4, 1542.3, 175.5, 200.4, 200.5, 200.7, 200.20 and 275.8 and Addition of Section 279.15 to the Regulations of the Commissioner of Education Relating to Addressing the COVID-19 Crisis BR (A) 3

MOVED, that Sections 52.3, 52.21, 57-4.5, 70.4, 74.6, 75.2, 75.5, 76.2, 79-9.3, 7910.3, 79-11.3, 79-12.3, 80-1.13, 80-1.5, 80-3.15, 80-4.3, 83.5, 87.2, 87.5, 100.2, 100.4, 100.5, 100.6, 100.10, 100.21, 119.1, 119.5, 125.1, 151-1.4, 154-2.3, 175.5, 200.4, 200.5, 200.7, 200.20, and 275.8 be amended and Section 279.15 be added, as submitted, as an emergency measure, effective November 17, 2020, upon a finding by the Board of Regents that such action is necessary for the preservation of public health and the general welfare in order to immediately provide flexibility to certain regulatory requirements in response to the COVID-19 crisis and to ensure that the emergency action taken at the October meeting remains continuously in effect.

Motion by:	Regent Susan W. Mittler
Seconded by:	Regent Frances G. Wills
Action:	Motion carried unanimously.

DISCUSSION ITEMS

2021-22 Non-State Aid Budget and Legislative Priorities BR (D) 1

Phyllis Morris and Jennifer Trowbridge provided a presentation with updates to the 2021-2022 non-state aid budget and legislative initiatives.

2021-22 Conceptual Regents State Aid Proposal BR (D) 2

Regent Mead, Phyllis Morris and Brian Cechnicki shared information regarding the 2021-2022 Regents State Aid Proposal (Attachment IV).

HIGHER EDUCATION COMMITTEE MEETING

The Higher Education Committee held its scheduled meeting on November 16, 2020 (See Higher Education Committee Report.)

CULTURAL EDUCATION COMMITTEE MEETING

The Cultural Education Committee held its scheduled meeting on November 16, 2020 (See Cultural Education Committee Report.)

MEETING OF THE FULL BOARD, Monday, November 16th at 2:00 p.m.

Board Members in Attendance:

T. Andrew Brown, *Vice Chancellor* Roger Tilles Lester W. Young, Jr. Christine D. Cea James E. Cottrell Josephine Victoria Finn Judith Chin Catherine Collins Nan Eileen Mead Luis O. Reyes Susan W. Mittler Frances G. Wills

Also present were Interim Commissioner, Betty A. Rosa; Acting Counsel and Deputy Commissioner for Legal Affairs, Aaron Baldwin; and Secretary, Board of Regents, Anthony Lofrumento. Regents Wade S. Norwood, Kathleen M. Cashin, Beverly L. Ouderkirk and Elizabeth S. Hakanson were absent and excused.

Vice Chancellor Brown called the meeting to order.

Charter Applications BR (A) 1

MOVED, that the Board of Regents approve each application in accordance with the recommendations contained in the summary table (see Appendix I).

Summary of the October 2020 Meetings of the Board of Regents BR (A) 2

MOVED, that the Summary of the October 2020 Meetings of the Board of Regents of The University of the State of New York be approved.

Motion by:	Regent Catherine Collins
Seconded by:	Regent James E. Cottrell
Action:	Motion carried. Regent Mittler abstained.

PROGRAM AREA CONSENT ITEMS

Adult Career and Continuing Education Services (ACCES)

Appointment to the New York State Rehabilitation Council BR (CA) 1

MOVED, that Joseph Amodeo be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Valarie Gambino-Carelli be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Kathleen Grosvenor be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Nancy Grant be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Chana Meir be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Anthony Morano be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Evelyn Pugh be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Robert Statham be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023.

MOVED, that Toni Sullivan be appointed to a three-year term beginning January 1, 2021 and ending December 31, 2023. **P-12 Education**

Proposed Amendments to Sections 52.21, 79-8.5, 100.5, and 154-2.3 of the Regulations of the Commissioner of Education Relating to Addressing the COVID-19 Crisis and the Reopening of Schools BR (CA) 2

MOVED, that Sections 52.21, 79-8.5, 100.5, and 154-2.3 be amended, as submitted, as an emergency measure, effective December 14, 2020, upon a finding by the Board of Regents that such action is necessary for the preservation of public health and the general welfare in order to immediately provide flexibility to certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools.

Professional Practice

(Re)Appointments of Members to the State Boards for the Professions and (Re)Appointments of Extended Members to the State Boards for the Professions for Service on Licensure Disciplinary and/or Licensure Restoration and Moral Character Panels BR (CA) 4

MOVED, that Board of Regents approve the proposed (re)appointments.

Report of the Committee on the Professions Regarding Licensing Petitions BR (CA) 5

MOVED, that the Board of Regents approve the recommendations of the Committee on the Professions regarding the degree conferrals.

MOVED, that the Regents approve the consent agenda items.

Motion by:	Regent Christine D. Cea
Seconded by:	Regent Judith Chin
Action:	Motion passed unanimously.

STANDING COMMITTEE REPORT

CULTURAL EDUCATION

Your Committee on Cultural Education had its scheduled meeting on November 16, 2020. Regent Roger Tilles and Regent Frances Wills, Co-Chairs of the Cultural Education Committee, submitted the following written report. In attendance were committee members: Regent Tilles, Co-Chair, Regent Wills, Co-Chair, Regent Cea, Regent Cottrell and Regent Mead. Regents, in addition to Cultural Education Committee members, in attendance were: Vice Chancellor Brown, Regent Chin, Regent Collins, Regent Young, Regent Reyes, Regent Finn, Regent Mittler, Interim Commissioner Rosa.

ITEMS FOR DISCUSSION

Co-Chairs Regent Tilles and Regent Wills welcomed everyone.

Deputy Commissioner's Report (Discussion)

Deputy Commissioner Mark Schaming provided an update on how the Office of Cultural Education's programs and services continue to serve, support and educate New Yorkers throughout the COVID-19 pandemic.

Appointments to the Regents Advisory Council on Libraries (Action) [CE (A) 1]

Deputy Commissioner Mark Schaming introduced the recommended appointments to the Library Regents Advisory Council. Members of the Library Regents Advisory Council advises the Board on issues concerning library policy, works with the officers of the State Education Department in developing a comprehensive statewide library and information policy, and makes recommendations to the Regents for implementing library programs and initiatives. The recommended appointments to the Library Regents Advisory Council are: Meg Backus, Executive Director, Northern New York Library Network, Potsdam (5-year term); AnnaLee Dragon, Director, Kinderhook Memorial Library (5-year term); and Tamar Evangelestia-Dougherty, Associate University Librarian, Cornell University (5-year term).

Motion: Regent Tilles Second: Regent Cea Unanimous vote in favor of the motion.

Proposed Amendment of Section 90.19 of the Regulations of the Commissioner of Education Relating to the Regional Bibliographic Databases and Interlibrary Resources Sharing Program (Discussion) [CE (D) 1]

Deputy Commissioner Mark Schaming presented proposed amendments relating to regional bibliographic data bases and interlibrary resources sharing program. The

amendments are necessary to update and clarify certain terminology related to the use of technology in libraries and to reflect new technologies, best practices and current standards. In addition, the amendments realign outdated program planning requirements, reporting requirements and eligibility criteria to reflect current practices. After publication of the proposed rule in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed rule will be presented to the Board of Regents for adoption at the March 2021 Regents meeting.

Interim Commissioner Rosa informed the committee that Regent Collins was now appointed to the Committee on Cultural Education.

HIGHER EDUCATION

Your Higher Education Committee held its scheduled meeting on November 16, 2020. All members of the Committee were present except for Regents Norwood and Cashin, who were absent and excused.

Action Items

MOTION FOR ACTION BY FULL BOARD

Your Higher Education Committee recommends, and we move, that the Board of Regents act affirmatively upon each recommendation in the written report of the Committee's deliberations at its meeting on November 16, 2020, copies of which have been distributed to each member of the Board of Regents.

Matters Not Requiring Board Action:

Proposed Amendment to Section 50.1(I)to clarify the definition for "university" in New York State. Your Committee heard information presented by Department staff regarding the current definition of "university" in Commissioner's Regulations and it heard some of the suggestions raised by New York State colleges to revise that definition. After the Committee's discussion, Regent Collins invited the field to comment on this topic so that staff and Committee members can further deliberate on the matter and make a proposal to the full Board at a later date (Attachment V.)

PROFESSIONAL PRACTICE

Your Professional Practice Committee held its scheduled meeting on November 16, 2020. All members participated in the meeting by video conference call. Vice Chancellor T. Andrew Brown also participated but did not vote on any case or action.

ACTION ITEMS

Professional Discipline Cases

Your Committee recommends that the reports of the Regents Review Committees, including rulings, findings of fact, determinations as to guilt, and recommendations, by unanimous or majority vote, contained in those reports which have been distributed to you, be accepted in 1 case. In addition, your Committee recommends, upon the recommendation of the Committee on the Professions, that 24 consent order applications and 7 surrender applications be granted.

These recommendations are made following the review of 32 cases involving thirteen registered professional nurses, six licensed practical nurses, two certified public accountants, two licensed practical nurses who are also registered professional nurses, two professional engineers, one acupuncturist, one creative arts therapist, one pharmacy, one physical therapist assistant, and one veterinarian.

MOTION FOR ACTION BY FULL BOARD

Vice-Chancellor and Colleagues: Your Professional Practice Committee recommends, and we move, that the Board of Regents act affirmatively upon each recommendation in the written report of the Committee's deliberations at its meeting on November 16, 2020, copies of which have been distributed to each Regent.

MATTERS NOT REQUIRING BOARD ACTION

Your Committee discussed several topics of interest, including:

- Deputy Commissioner's Report/Update
- Full Board Consent Agenda Items
- Board (Re)Appointments
- Licensing Petitions/Conferral of Degrees

Update on Progress for the OP Modernization Program, the Multi-Year, Multi-Project Program to Modernize All Licensing and Renewal Activity for Title VIII Professionals in New York State – PPC (D) 2

An overview of the work completed in the first two years and the work planned for the next three years of the OP Modernization Program will be presented, including the plan to develop a custom system to handle all licensing and renewal activities for professionals called the New Professions System (NPS) (Attachment I.)

The Role of the Professional Assistance Program (PAP) in Assisting Licensed Professionals with Alcohol and Substance Abuse Problems - PPC (D) 1

An overview of the work of the PAP will be presented, including program history, processes, progress to date, and future opportunities, as well as how the program continues to protect the public and preserve professionals (Attachment II.)

MOVED, that the Committee Reports be approved.

Motion by:	Regent James E. Cottrell
Seconded by:	Regent Susan W. Mittler
Action:	Motion carried unanimously.

APPOINTMENT

Appointment of Daniel Morton-Bentley as Counsel and Deputy Commissioner for Legal Affairs BR (A) 4

MOVED, that the Board of Regents approve the appointment of Daniel Morton-Bentley to Counsel & Deputy Commissioner for Legal Affairs effective November 17, 2020.

Motion by:	Regent Frances G. Wills
Seconded by:	Regent Christine D. Cea
Action:	Motion carried unanimously.

Vice Chancellor Brown adjourned the meeting.

Appendix I NEW YORK STATE BOARD OF REGENTS CHARTER ACTIONS

Name of Institution	Program Area	County (City/Town) of Location	Description of Charter Action(s)
Afro-American Historical Association of the Niagara Frontier	CE	Erie (Buffalo)	Amend charter to update certain tax exempt and dissolution language.
Backbone Ridge History Group of Schuyler, Seneca and Tompkins Counties	CE	Seneca (Lodi)	Extend provisional charter for five years.
Belarusian Kreceuski Foundation	CE	Queens (Jamaica)	Extend provisional charter for five years.
Climate Museum	CE	New York (New York)	Extend provisional charter for five years.
East Williston Public Library	CE	Nassau (East Williston)	 Amend charter to: designate the library's service area to be coterminous with the Village of East Williston; designate Commissioner as agent for service; and update IRS dissolution language.
New Amsterdam History Center	CE	New York (New York)	Extend provisional charter for five years.
Riverbank Historic House Museum	CE	Orange (Cornwall-on- Hudson)	Amend charter to change the corporate name to "The Hudson Valley Riverbank Historic Sites" and extend provisional charter for five years.
Victor Farmington Library	CE	Ontario (Victor)	Amend charter to specify the trustee term length to be three years.
Bright Hope Academy Center	P12	Schenectady (Schenectady)	Extend provisional charter for three years.
Child's Play Nursery School	P12	Nassau (Westbury)	Dissolve charter and approve distribution of remaining assets to Westbury United Methodist Church to be used for its S.T.A.R.R. After School Program.
International Academy of New York	P12	New York (New York)	Extend provisional charter for three years.

Name of Institution	Program Area	County (City/Town) of Location	Description of Charter Action(s)
Mountain Road School	P12	Rensselaer (East Nassau)	Consent to allow the corporation to petition for judicial dissolution and approve proposed storage of student records at the New Lebanon Central School District.
Packer Collegiate Institute	P12	Kings (Brooklyn)	Amend charter to add authority to operate a school location called Packer Collegiate Institute Early Learning Center at 100 Clinton Street, Brooklyn, NY 11201.
The School House	P12	Suffolk (East Northport)	Amend charter to add authority to operate grades sixth, seventh and eighth.
Storefront Academy Harlem	P12	New York (New York)	Amend charter to change the corporate name to "The Children's Storefront".

Appendix II

REGENTS ACTIONS IN 32 PROFESSIONAL DISCIPLINE CASES November 16, 2020

The Board of Regents announced disciplinary actions resulting in the surrender of 7 licenses, and 25 other disciplinary actions. The penalty indicated for each case relates solely to the misconduct set forth in that particular case.

I. SURRENDERS

Engineering, Land Surveying and Geology

Christopher Kendall Wilkins; Professional Engineer; Burlington, VT 05401; Lic. No. 076195; Cal. No. 32180; Application to surrender license granted. Summary: Licensee did not contest the charge of willfully failing to comply with the mandatory continuing education requirements to be registered to practice as a professional engineer.

Mental Health Practitioners

Jennifer M. Scott; Creative Arts Therapist; Shrewsbury, NJ 07702; Lic. No. 000073; Cal. No. 32210; Application to surrender license granted. Summary: Licensee admitted to the charge of developing a friendship with a patient outside of therapy and failing to maintain accurate records.

Nursing

Katherine Marie Norman; Registered Professional Nurse; North Chesterfield, VA 23236-3813; Lic. No. 587815; Cal. No. 32245; Application to surrender license granted. Summary: Licensee did not contest the charge of failing to accurately document the administration or wasting of medication in the Commonwealth of Virginia.

Alicia P. Johnson; Licensed Practical Nurse; Parlin, NJ 08859; Lic. No. 305604; Cal. No. 32267; Application to surrender license granted. Summary: Licensee admitted to the charge of having been convicted of Distribution of a Controlled Dangerous Substance, a crime of the 3rd Degree and Endangering the Welfare of a Child, a crime of the 2nd Degree, in the State of New Jersey.

Denise A. Maiorana; Registered Professional Nurse; Saint Paul, MN 55110-4838; Lic. No. 528405; Cal. No. 32270; Application to surrender license granted. Summary: Licensee did not contest the charge of diverting the controlled substances Dilaudid and fentanyl for her own personal use, in the State of Minnesota.

Amy Lynn Bertolina a/k/a Amy Lynn Kolesar-Bertolina; Registered Professional Nurse; Bethel Park, PA 15102-2838; Lic. No. 556083; Cal. No. 32271; Application to surrender

license granted. Summary: Licensee admitted to the charge of having been convicted of Driving Under the Influence of Alcohol, in the Commonwealth of Pennsylvania.

Public Accountancy

David Joseph Middendorf; Certified Public Accountant; Marietta, GA 30068; Lic. No. 115975; Cal. No. 32200; Application to surrender license granted. Summary: Licensee admitted to the charge of having been convicted of Conspiracy to Commit Wire Fraud; and Wire Fraud (3 counts).

II. OTHER REGENTS DISCIPLINARY ACTIONS

Acupuncture

Sufen Gong; Acupuncturist; Vestal, NY 13850; Lic. No. 003061; Cal. No. 30608; Application for consent order granted; Penalty agreed upon: 1 month actual suspension, 23 months stayed suspension, upon return to practice, 2 years probation, \$1,000 fine.

Engineering, Land Surveying and Geology

Anthony Cecil Reneaud; Professional Engineer; New York, NY 10016; Lic. No. 072822; Cal. No. 31859; Application for consent order granted; Penalty agreed upon: 3 months actual suspension, 21 months stayed suspension, 2 years probation, \$5,000 fine.

Nursing

Alec G. Drucker; Registered Professional Nurse; Peru, NY 12972-4639; Lic. No. 673776; Cal. No. 29779; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$750 fine.

Kerry Lynn Donohue; Licensed Practical Nurse; Albany, NY 12203; Lic. No. 304026; Cal. NO. 30463; Found guilty of professional misconduct; Penalty: Indefinite actual suspension until substance-abuse-free and fit to practice, upon return to practice, 2 years probation, \$1,000 fine.

Sarah E. Walts a/k/a Sarah Ellen Walts; Licensed Practical Nurse; Fredericksburg, VA 22406; Lic. No. 326464; Cal. No. 31747; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, upon return to practice in the New York State, 2 years probation.

Virginia Bambina; Registered Professional Nurse; Saugerties, NY 12477; Lic. No. 634109; Cal. No. 31772; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$500 fine.

Stephanie Lynne Hicks a/k/a Stephanie Burley; Licensed Practical Nurse; East Rochester, NY 14445; Lic. No. 277642; Cal. No. 31860; Application for consent order granted; Penalty agreed upon: Indefinite actual suspension for no less than 6 months and until fit to practice, upon return to practice, 2 years probation, \$500 fine.

Christian J. Lopez; Registered Professional Nurse; Brooklyn, NY 11229-5323; Lic. No. 627234; Cal. No. 31861; Application for consent order granted; Penalty agreed upon: 2 months actual suspension, 22 months stayed suspension, , 2 years probation, \$7,500 fine.

Amanda Louise Andersen; Registered Professional Nurse; Brentwood, NY 11717; Lic. No. 718588; Cal. No. 31887; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$500 fine.

Virginia Rempe a/k/a Virginia Pavese; Licensed Practical Nurse, Registered Professional Nurse; Kings Park, NY 11754; Lic. Nos. 152562, 461407; Cal. Nos. 31888, 31889; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$500 fine.

Youbraj Deonarine; Licensed Practical Nurse; New York, NY 10011; Lic. No. 262013; Cal. No. 31893; Application for consent order granted; Penalty agreed upon: 1 month actual suspension, 23 month stayed suspension, 2 years probation.

Norlito Shu Bunal, Jr.; Registered Professional Nurse; Rockaway Park, NY 11694; Lic. No. 566595; Cal. No. 31895; Application for consent order granted; Penalty agreed upon: Censure and reprimand, 1 year probation, \$500 fine.

Netzi Jenys Montano; Registered Professional Nurse; Hilton, NY 14468; Lic. No. 717841; Cal. No. 31914; Application for consent order granted; Penalty agreed upon: 1 year stayed suspension, 1 year probation, \$500 fine.

Nancy D. Munnelly; Registered Professional Nurse; Weston, CT 06883; Lic. No. 288644; Cal. No. 31928; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$1,000 fine.

Brittney Leann Christensen; Registered Professional Nurse; Dundee, NY 14837; Lic. No. 691920; Cal. No. 31978; Application for consent order granted; Penalty agreed upon: 2 years stayed suspension, 2 years probation, \$500 fine.

Nicole Marie Presutti; Licensed Practical Nurse; Lewiston, NY 14092; Lic. No. 297188; Cal. No. 31985; Application for consent order granted; Penalty agreed upon: 6 months actual suspension, 18 months stayed suspension, upon return to practice, 2 years probation, \$500 fine.

Marcella Deuel; Registered Professional Nurse; Albany, NY 12210; Lic. No. 653643; Cal. No. 32022; Application for consent order granted; Penalty agreed upon: 1 year stayed suspension, 1 year probation, \$500 fine.

Kathleen Marie Saccone; Registered Professional Nurse; North Syracuse, NY 13212; Lic. No. 539037; Cal. No. 32026; Application for consent order granted; Penalty agreed upon: 3 months actual suspension, 21 months stayed suspension, upon return to practice, 2 years probation, \$500 fine.

Gregory Allen Bush; Licensed Practical Nurse, Registered Professional Nurse; Syracuse, NY 13214; Lic. Nos. 283217, 592394; Cal. Nos. 32048, 32049; Application for consent order granted; Penalty agreed upon: Indefinite actual suspension until fit to practice, upon return to practice, 2 years probation, \$500 fine.

Pharmacy

Walgreen Eastern Co. Inc. d/b/a Walgreens; Pharmacy; Hicksville, NY 11801; Reg. No. 029160; Cal. No. 31862; Application for consent order granted; Penalty agreed upon: 1 year probation, \$1,500 fine.

Physical Therapy

Chad Michael Meagley; Physical Therapist Assistant; Sayre, PA 18840; Cert. No. 005926; Cal. No. 32054; Application for consent order granted; Penalty agreed upon: Indefinite actual suspension until fit to practice, upon return to practice in New York State, 2 years probation, \$500 fine.

Public Accountancy

Alan Goldberger; Certified Public Accountant; New York, NY 10018; Lic. No. 042677; Cal. No. 31869; Application for consent order granted; Penalty agreed upon: 6 months actual suspension, 18 months stayed suspension, 2 years probation, \$10,000 fine.

Veterinary Medicine

John Alton Inkley; Veterinarian; Randolph, NY 14772; Lic. No. 009146; Cal. No. 32014; Application for consent order granted; Penalty agreed upon: 1 month actual suspension, 23 months stayed suspension, upon return to practice, 2 years probation, \$5,000 fine.

ATTACHMENT I





New York State EDUCATION DEPARTMENT

Knowledge > Skill > Opportunity

OP Modernization Program Presentation to the Board of Regents November 16, 2020

Multi-Project, Multi-Year Approach

The Modernization Program includes the following four projects:

Online License Application Development to improve user experience and allow online credit card payments

Modernization Definition and Design to replace the 38-year-old system

Develop New Professions System (NPS) to deliver one-stop shop for all licensing and renewal needs

Customer Service Modernization to improve our ability to assist the public via phone, email and a redesigned public website, <u>op.nysed.gov</u>

Multi-Project, Multi-Year Approach

The Modernization Program Achievements Since November 2019:

- Successfully launched online applications for all 54 Professions
- Started migrating all other paper forms to electronic format
- Developed strategy for the mainframe migration
- Developed the vision for the new online system and user accounts
- Began work on Data Onboarding & Collection Service (DOCS)
- Created requirements for internal and external users
- Successfully implemented new customer service tools for phone and email support

Online Applications

Improved experience for applying and processing applications leads to significantly reducing the time to license an individual:

- All 54 Professions have online applications and electronic payments
- More than 58,000 professional licenses were issued in 2019

Currently, all additional user documentation must come in via postal mail on paper.

 Our goal is to provide a system for all additional licensing materials to flow electronically, continuing to improve our ability to license professionals quickly



Country of Residence

First Name*, Middle Name

Last Name

Address Line 1

United States of America (the)

E

Heather

Klusendorf 9 Loudonwood East

Address Line

New Professions System

The New Professions System (NPS) will provide one-stop "shopping" for all licensing and renewal needs.

- User accounts for managing online applications and online renewals
- Status indicator for self-service application status
- Order history for add-on services
- Data Onboarding & Collection Service, providing a way for all information about professionals to flow electronically



Website Redesign

Redesigned public information website, op.nysed.gov, will provide a user-friendly website that fully meets Federal accessibility standards.

Q Search Provide

- Crisp new design targeted for roll out next spring to the public
- Successfully migrate content for nearly 2,400 existing webpages
- The need-to-know information will be easy to find and simple to understand
- Device agnostic to work similarly on all screen sizes, including computer screens, tablets, and smart phones



New Professions System Roadmap

We have a 33-month plan beginning in November 2020 through July 2023.

Primary Development Domains: OP Modernization Program

ROSA & Relational Database
Data Onboarding & Collection Service (DOCS)
Pre-Licensure
Email Services & Data Tools
User Dashboard
Post-Licensure
Corporations

Education Programs Pharmacy

Discipline

Legacy Sunset

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33

Months of Implementation: November 2020 to June 2023



EDUCATION DEPARTMENT Knowledge > Skill > Opportunity

8

Thank you! Please let us know if you have questions!



ATTACHMENT II



www.op.nysed.gov

Substance Abuse Amongst Professionals

- Around 10% to 15% of the Health Care population has experienced drug/alcohol addiction/abuse (SAMHSA 2013).
- 1 in 10 to 1 in 5 RNs may suffer from substance dependence or abuse issues (Starr, 2015).
- Research suggests that prevalence of nurses with substance use problems is similar to that seen in the general population (Monroe, 2009).

Professional Assistance Program (PAP) Mission

To Protect the Public and Support the Professional in Recovery

Voluntary and Confidential

- PAP is available to members of 51 of the 54 professions licensed by New York State Department of Education
 - excluding Physicians, Physician Assistants (PA) and Specialist Assistants (SA) as they have their own program with DOH, attorneys also have a similar program with the Unified Court System

How PAP Works

• Participate in acceptable substance abuse treatment

- Monitored for a minimum of two years after their license has been reinstated
 - Discharge is dependent on participant's progress and is at the discretion of the Committee for Professional Assistance

 Monitoring of participants in the PAP includes treatment reports, work-site reports, and random observed drug screens at specified frequencies

Committee for Professional Assistance

- Members appointed by the BOR
- •3- member panels
- State Board Member sits ex-officio
- Decisions by 2/3rds vote

PROGRAM ADVANTAGES

TO THE PARTICIPANT

- Expert Advice
- Referrals
- Structured recovery plan
- Immunity from 2 charges of misconduct
- Confidential
- Voluntary
- Involves surrendering professional license

TO THE STATE

- Immediate license surrenderwhich protects the public
- Thorough treatment plan
- Rigorous monitoring
- Strict practice conditions
- Return a trained professional to practice

IMMUNITY GRANTED BY PAP

Participants who are admitted into the PAP are granted immunity from two charges of professional misconduct as specified in the Education Law Section 6509(3) and (4) while enrolled in the PAP and if they successfully complete the program
Two PAP Tracks

PRISM

- Name for traditional PAP Track
- Requires immediate surrender of license
- For all licensed professions except Physicians, PA's and SA's
- The majority of clients are admitted into this track

Nurse to Nurse (N2N)

- No interruption of practice if no threat to the public and does not require surrender of license
- Must have a significant amount of time in recovery
- These clients not appropriate for the traditional track
- For RN, LPN, NP
- For any drug problem-not just addiction
- Also available for Dentist2Dentist

(D2D) and Pharmicist2Pharmicist (Ph2Ph)

Total Admits by Year

2015-2018 PAP Statistics



Outreach

Outreach includes:

- Conventions/conferences
- Health care providers
- Education providers
- o Professional Boards

Professional Admissions in PAP

2019- Professional Admissions in the PAP



Statewide Peer Assistance for Nurses (SPAN)

- Non-profit agency offering advocacy to nurses (LPN, RN and NP) with substance abuse.
- PAP and SPAN collaborate together to help the participant successfully complete the PAP program.
- SPAN offers support groups to participants in order to discuss issues and gather advice.
- SPAN helps the participant develop their recovery plan.

Physicians, Physician Assistants and Specialist Assistants

OFFICE OF PROFESSIONAL MEDICAL CONDUCT (OPMC)

- Department of Health
- Investigates complaints about physicians, physician assistants and specialist assistants and monitors practitioners who are subject to Orders of the State Board for Professional Medical Conduct.

COMMITTEE FOR PHYSICIAN HEALTH

- Medical Society of the State of New York
- Confidential, clinically based, non-disciplinary, advocacy program funded by physician licensure fees, for physicians, physician assistants and specialist assistants with substance abuse, psychiatric and cognitive disorders.

Where are We Heading?

- Increase the number of professionals who take advantage of the PAP with increased outreach efforts.
 - Conventions/conferences
 - Professional Boards
 - Education providers
- Update and streamline client data with an outside medical management/case management system.
 - Currently working on RFP's for new system
 - Help reduce time and human error
 - Help improve keeping track of statistics and evaluating the monitoring program





Thank you!

O Contact Information

Jennifer Granato- Associate in Nursing Education Addictions
pap@nysed.gov
518-485-9380

ATTACHMENT III



Supporting the Whole Child During the Pandemic

Full Board Meeting November 16, 2020



Whole Child Supports

Social Emotional Supports

- Social Emotional Learning
- MTSS
- SEL Remote Instruction/TRLE Grant

Physical Health

- Health Services
- Advocacy for Families and Schools
- Child Nutrition
- Physical Health

Safety/ School Climate

- Erin's Law
- OCFS-Joint webinar
- Center for School Safety TAC

Engagement Beyond the Classroom

- Community Schools
- 21st CCLC
- Extended School Day/ School Violence Prevention
- MBK



Student Support Services/ Child Nutrition



Student Support Services Technical Assistance Centers

New York State Center for School Health

Supporting Student Success Through Health and Education

NYS Community Schools TACs

Providing New and Established Community Schools **SEL supports through** Guidance and Training

NYS Center for School Safety

Providing Support to Schools, Families and Communities

NYSED's School Health Services



NYSED Office of Student Support Services

 employs two registered professional nurses (RNs) to oversee the provision of school health services in P-12 schools

The NYS Center for School Health

 employs two RNs and a certified health educator to provide technical assistance, resources and professional development to the field

Social Emotional Learning

- CASEL recently announced equityfocused updates to their definition of and framework for SEL.
- SSS is working to revise existing resources to reflect these changes.
- SSS continues to work with S/CDN's SEL Framework Advisory workgroup in their development of professional learning opportunities.





Community Schools Technical Assistance Centers

- Technical assistance for Community School Coordinators
- Communities of Practice
- BOCES Networking Meetings
- Professional learning around family engagement, trauma, racial justice, chronic absenteeism, mindfulness
- Virtual coffee hours during COVID-19 Spring transition





Central/Western Region Binghamton University Eastern Region Rockland 21st Century Collaborative for Children and Youth New York City Fordham University

21st CCLC Support - COVID-19 Pandemic

- COVID-related resources and support
- Meals delivery
- Check-in Calls
- Virtual learning resources for parents
- Academic and enrichment services
- Learning focused on social emotional wellness
- Federal waiver supporting students with their remote day school classes





ESD/SVP Support - COVID-19 Pandemic

In person or synchronous, virtual access to programing

Cohorts aligned with School District hybrid scheduling Remote check-in's, virtual family programing and outreach

Wellness, social emotional and mental health support and referrals to community

partners



STEM projects and DIY kits

Virtual Family Fun Nights



Providing Safe Meals Remotely and In-school



In-classroom
service
Socially
distanced
cafeteria
service
Grab and go







Office of Curriculum Instruction and Early Learning



Physical Education



"...Participating in Physical Education (PE) is important for our students' health and wellbeing. Not only do PE activities benefit students' physical health, but research indicates regular physical activity improves students' mental health as well as contributes to academic success..." *From New York's Reopening Guidance*

- Maintain a safe distance
- Focus more on individual pursuits or skills
- Use games and activities that require no physical contact
- Include opportunities for student choice and incorporate student-suggested activities

Erin's Law



All pupils in grades K-8 in all public schools in the state shall receive instruction designed to prevent child sexual exploitation and child sexual abuse.



Erin's Law Webpage

Erin's Law In August 2019, New York State became the 37th state to sign Erin's Law into legislation. New York State's law, (S.4070-B/A.2577-B#) - known as Erin's Law -New York State Learning Standards for Health requires public schools to teach child sexual abuse and exploitation prevention classes to students in kindergarten through eighth grade. Erin's Law is named 4 for Erin Merryn, an abuse survivor, and activist against child sexual abuse who has advocated for similar laws nationwide for over a decade. This legislation mandates that these prevention classes include practical, age-appropriate instruction which are developed according to the needs and abilities of students at each grade level in order to provide awareness, skills, information, self-confidence, and support to aid in the prevention of child sexual exploitation and child Health State and National Survey Data sexual abuse. In Summer 2020, work was completed with organizations that researched, developed age-appropriate grade bands, and found multiple resources to help school districts develop curriculum and useful lesson plans to implement Erin's Law. Mental Health The following grade band curricular benchmarks have been developed to help assist schools and districts implement Erin's Law. Under the following grade Organ and Tissue Donation band benchmarks are resources for schools and districts. These are suggested resources as all curricular programming and instruction are locally determined. Sepsis Kindergarten-2nd Grade Tick and Tick-Borne Disease 3rd-4th Grades Erin's Law 5th-6th Grades Additional Resources 7th-8th Grades Awards and Scholarships General Education and Diploma **Resources for Schools and Districts** Requirements Multiple Pathways Teacher Centers Contact Us



http://www.nysed.gov/curriculum-instruction/erins-law



Office of Access, Equity and Community Engagement



My Brother's Keeper



NEEDS, DEEDS, AND IMPACT DURING COVID-19 AN MBK VIRTUAL VILLAGE MEETING APRIL 23, 2020

1:30PM TO 3 PM





http://www.nysed.gov/mbk

My Brother's Keeper TOC II Summit June 10, 2020 All Eyes on Equity

http://www.nysed.gov/mbk/videos



Ask the Doctor Series

with Dr. Anael Alston

Episode 1: How to Survive, Then Thrive in Schools With the Reality of COVID-19



https://vimeo.com/468028546





Office of Bilingual Education and World Languages



Office of Bilingual Education and World Languages







Office of Bilingual Education and World Languages



- "Working with ELLs Remotely" (10 languages)
- "Working with Special Needs Students Remotely" (5 languages)
- "Planning the Remainder of the School Year" webinar series (6 languages)
- "Considering Culture and Disability for Children and Families"
- "Mindfulness and Conflict Resolution During a Time of Crisis" (10 languages)

Learning at Home

- "Supporting Students Academically at Home" (3 languages)
- "Fun Home Learning" activity sheet (11 languages)
- "Increasing Literacy at Home"
- "Authors in the Home"
- "Science and Math at Home"
- ELA and Social Studies family webinar (2 languages)
- Math and Science family webinar (2 languages)

Learning with Parents

- "Rights in Education for ELLs" (2 languages)
- FAFSA completion sessions (weekends, 2 languages)
- "Technology Support in FAFSA Informational Sessions for ELLs"
- Parent QA Sessions (evenings, 5 languages)





Office of Special Education



Special Education – Educational Partnership Family and Community Engagement (FACE) Centers

- Transitioned trainings for families to virtual formats, as well as the traditional in-person offerings
- **Provided Spanish-speaking specialists** and/or interpreters for trainings, as needed
- **Participated in community events** to reach more families to make them aware of our supports
- Collaborated with community partners to provide training to parents on how to access their schools' virtual platforms for learning
- Assisted community partners in distributing food to families in need
- Distributed donated books to families

Multi-tiered Systems of Support

The framework integrates both academic and behavior instruction to support the learning, social, emotional, and behavioral needs of New York State students.





Office of Education Policy



Teaching in Remote/Hybrid Learning Environments

New York was awarded over **\$19 million under the CARES Act** to build educator capacity to effectively implement remote/hybrid learning.

To meet the needs of our most vulnerable students, NYSED is working with partners to develop and disseminate professional learning resources in 6 areas:

- 1. Shifting to Blended/Remote Instruction
- 2. Families as Partners
- 3. Students with Disabilities
- 4. English Language Learners/Multilingual Learners
- 5. Culturally-Responsive Education
- 6. Social Emotional Learning





Mission Statement

Mental Health Association in New York State, Inc. (MHANYS) improves the lives of individuals, families, and communities by raising mental health awareness, ending stigma and discrimination, and promoting wellness and recovery.

www.mentalhealthEDnys.org ~ schools@mhanys.org ~ 518.434.0439

Virtual Wellness Room 🎗

WELCOME

Welcome to MHANYS' School Mental Health Resource and Training Center! We have the tools and information schools need to educate students about mental health – from instructional resources to staff development, and information for families. To learn more, contact us at schools@mhanys.org. ۷U

BACK TO SCHOOL 2020

New York State schools will face unprecedented challenges this Fall, including a potential increase in student and staff mental health concerns. Check out our professional development offerings, SEL resources and practical strategies to promote resiliency and build connections in schools.

MENTAL HEALTH EDUCATION

In 2016, Governor Cuomo signed a bill that requires schools to provide mental health instruction as part of K-12 Health curriculum. We have all the information you need to implement this law, including a tool to assess current practices and guidance from the NYS Education Department.

2020 Messages from MHANYS

ASK A QUESTION or JOIN OUR MAILING LIST

Family Education Project

The Mental Health Association in New York State, Inc. has received funding from Mother Cabrini Health Foundation to launch the *Family Education Project*. Administered as part of the School Mental Health Resource and Training Center, the project will educate families and caregivers about youth mental health – providing access to informational webinars and other resources to promote greater understanding of mental health and support wellness for the whole family. For more information visit www.mentalhealthEDnys.org/parents/

© 2020, Mental Health Association of New York State, Inc.

Parent/Caregiver Section:

- 30 minute monthly webinars
- how to talk with children
 - understanding cognitive distortions
 - Information on social media & suicide
- tools for develop coping skills
 apps for mindfulness, emotion regulation
 activities to promote conversations
- supporting students beyond high school
- Fall Learning Communities
 - Book study and film screening
 - Panel discussions & presentations

6 Ways to Encourage Good Mental



Health Habits

Developing healthy coping strategies is not an innate ability and as children continue to grow, there are some ways parents and caregivers can assist in helping them obtain good mental health habits.

1. Talk openly about mental health.

There is still a stigma surrounding mental health disorders which discourages people from talking about any mental health challenges they may be facing. When we talk openly about mental health, we are promoting a safe environment that will encourage youth to seek support and help when it is needed.

2. Model your own healthy habits.

Children learn by observing. When adults use positive coping strategies to manage life's stressors, youth are more inclined to develop and use their own strategies.

Available in Spanish 3. Spend time together as a family.

Children need love, time and attention. Setting time aside to be with your child produces a stronger bond, making them feel valued and loved. Invite them to join you in an activity you enjoy to reduce stress. Incorporate these activities into your family's weekly routine and acknowledge their mental health benefits.

4. Participate in community or volunteer activities.

Children will meet and connect with new people. They will experience a sense of belonging and purpose, and will learn how to relate to others.

5. Encourage regular exercise.

Children and adolescents need at least 1 hour of daily physical exercise to promote healthy development, and exercise is one of the most effective strategies for maintaining a healthy mind. Exercising stimulates chemicals that improve our moods and allow us to channel negative energy positively.

6. Praise your child's efforts and behavior.

When we are praised on something we did, we feel good about ourselves, and our confidence and self-esteem increases. Praise also helps to motivate kids and encourage them to continue to try new things.



A project of the Mental Health Association in NYS, Inc. MENTALHEALTHEDNYS.ORG
Education Professionals: Resources and Trainings



- Resources for mental health instruction
- Strategies for virtual and classroom SEL
- Live, virtual trainings
 - Mental Health and Wellness 101
 - Cultivating Educator Resiliency
 - Introduction to Trauma-Sensitive Schools
- Self-paced, online trainings
 - Support Mental Health & Wellness of Students
 - $_{\circ}$ Suicide Safety for School Staff
 - Bullying Prevention (coming soon!)

WHOLE SCHOOL, WHOLE COMMUNITY, WHOLE CHILD

A collaborative approach to learning and health





https://www.cdc.gov/healthyschools/wscc/index.htm





New York State EDUCATION DEPARTMENT

Knowledge > Skill > Opportunity

2021-2022 Regents State Aid Proposal

Board of Regents Meeting November 2020

ATTACHMENT IV

Review Conceptual Proposal

As previously discussed, the conceptual Regents State Aid proposal would:

- Repeal the Pandemic Adjustment and restore each district's state aid levels to ensure that no district experiences an aid loss in 2020-21 and enable districts to use their federal CARES Act funds for their intended purpose;
- Amend Education Law to allow districts to be reimbursed for costs incurred to keep transportation vendors and employees on standby between March 18, 2020 and May 1, 2020, and allow districts to be reimbursed for costs incurred in using school buses to deliver school meals, homework packets and wi-fi access;



Review Conceptual Proposal

- Message that, if permanent state aid reductions must be made:
 - Reductions should be made on progressive, wealthadjusted basis; and
 - School districts and charter schools should be treated in an equitable manner.

Assist school districts by:

- Incentivizing efficiencies by indexing the Reorganization Incentive Aid formula to inflation; and
- Increasing the Department's capacity to provide school districts with technical support on financial and management issues.



Additional Proposals for Consideration

Additional proposals for consideration by the Regents include to:

- Amend Education Law to allow school districts and transportation vendors to be reimbursed for the cost of maintaining the infrastructure necessary to have transportation services available to support in-person education;
- Fully fund reimbursement to school districts for expensebased aids, such as Building Aid and Transportation Aid.



Additional Proposals for Consideration

- Repeal the School Aid Growth Cap:
 - Under existing Education Law, growth in school aid in any given year is limited to the 10-year average in personal income growth in the State.
 - For the 2021-22 school year, it is anticipated that the impact of the Pandemic Adjustment will activate the growth cap and create automatic reductions in state aid.
 - The Regents' conceptual proposal could include changing Education Law to eliminate the growth cap.



Mandate Relief Proposals

To further assist school districts with the current economic situation, the Regents could consider the following mandate relief initiatives:

- Enhance school district reserve fund flexibility by extending the current pay-back period and lowering the percentage that needs to be paid back each year;
- Increase flexibility for small districts to meet unanticipated expenses by allowing targeted exceptions to the 4% fund balance limit; and
- Discontinue duplicative financial transparency reporting.





New York State EDUCATION DEPARTMENT

Knowledge > Skill > Opportunity

Questions?

ATTACHMENT V



DEFINITION OF "UNIVERSITY" IN NEW YORK STATE

Higher Education Committee November 16, 2020



NYS Definition of University

Adopted by the Regents in 1969, the Current Definition of "**University**" in NYS (Commissioner's Regulations §50.1(I)): (I) University means a higher educational institution offering:

- A range of registered undergraduate and graduate curricula in the liberal arts and sciences;
- Degrees in two or more professional fields; and
- Doctoral programs in at least three academic fields.

College means "a higher educational institution authorized by the Regents to confer degrees."

Regents grandfathered in institutions already using "university" in their name prior to 1969.



What is an Academic Field?

"University...[offers] doctoral programs in at least 3 academic fields"

- No definition of "academic fields" exists in statute or regulations.
- Graduate academic degree programs are defined as advanced studies in an academic discipline that:
 - Contribute to knowledge of subject matter, rather than specific applications of knowledge to professional practices;
 - Emphasize theory and are not primarily designed as preparation for professional careers; and
 - Include research preparing for a life of scholarship in an academic discipline.
- The only degree conferred upon completion of a doctoral academic program is the **Doctor of Philosophy (Ph.D.)** (*Regents Rule 3.47*)



Professional Degrees

- Graduate professional degree programs are comprised of advanced studies in professional or vocational fields.
 - While they may have strong theoretical underpinnings, they must have as their primary purpose knowledge for application in professional practice.
- All doctorate-level degrees, other than the Ph.D., are recognized in Regents Rule 3.47 as "professional doctorates," for example:
 - Doctor of Education (Ed.D.)
 - Doctor of Chiropractic (D.C.)
 - Doctor of Dental Surgery (D.D.S.)
 - Doctor of Engineering (D.Eng.)

- Doctor of Medicine (M.D.)
- Doctor of Ministry (D.Min.)
- Doctor of Nursing Practice (D.N.P.)
- Doctor of Law (J.D.)



Massachusetts & SUNY/CUNY

- New York State is the only state that has any requirement for doctoral programs in order to use the term "university" in the title of an institution.
- Massachusetts had a requirement for 3 doctoral programs until 2010 and no longer requires any doctoral programs to use "university" in the title. Massachusetts does require that "universities" have programs in four or more distinct professional fields, which is not required in New York's regulations.
- The statutes that created SUNY and CUNY systems name and/or define institutions within those systems.



College to University Requests

Some independent colleges have requested that they be permitted to change their names to use "university" instead of college. In support of their requests, they have raised issues including:

- Institutions are at a competitive disadvantage when recruiting both nationally and internationally (i.e. internationally a "college" often refers to a secondary institution, not to an institution of higher education).
- Regulations/Policy do not reflect importance of graduate/doctoral professional degree programs which include critical workforce development areas, such as Education, PT, Dentistry, Nursing, Medicine, etc.



College to University Requests

Some Colleges believe they currently meet the regulatory requirements; however, due to lack of clarity related to "doctoral program" and "academic field" requirement definitions, they have not been approved.

	Total # of Doctoral Degree Programs	Total # of Doctoral Degree Awards Used	HEGIS Codes Used Doctoral Programs	code disciplines		Degrees in 2 or more professional fields?	3 PhD Programs in 3 separate disciplines?
College A	9	5	6	2		Yes	No
		EDD	1299 Health Prof Other 0827 Educ Admin	Education			
		DC	1221 Chiro	Health Professions	Has UG and Grad programs		
		DPT	1212 Physical Therapy				
		DNP	1203.1 Nursing (Bacc+)				
		Pharm D	1211 Pharmacy				
College B	18	6	6	3			
		PhD	0399 Humanities Area Studies	Humanities			
		JD	1401 Law	Social Sciences	Has UG and	Vaa	No
		DPT	1212 Physical Therapy	Health Professions	Grad programs	Yes	No
		DO	1210 Osteopathic Med				
		DDS	1204 Dentistry				
		Pharm D	1211 Pharmacy				



Carnegie Basic Classification of Institutions of Higher Education

Doctoral Universities	Includes institutions that awarded at least 20 research/scholarship doctoral degrees during the update year and also institutions with below 20 research/scholarship doctoral degrees that awarded at least 30 professional practice doctoral degrees in at least 2 programs.
Master's Colleges & Universities	Generally includes institutions that awarded at least 50 master's degrees and fewer than 20 doctoral degrees during the update year.
Baccalaureate Colleges	Includes institutions where baccalaureate or higher degrees represent at least 50 percent of all degrees but where fewer than 50 master's degrees or 20 doctoral degrees were awarded during the update year.
Associate's Colleges	Institutions at which the highest level degree awarded is an associate's degree.



*Basic Classification also includes Baccalaureate/Assoc; Special Focus; Tribal Colleges *Most recent update year was 2018.

Carnegie Basic Higher Education Classification

					US	US
	US IHEs*	US IHE %	NYS IHEs	NYS IHE %	Enrollment*	Enrollment %
Doctoral Universities	418	10%	30	12%	7,229,265	36%
Master's Colleges/Universities	685	16%	54	22%	3,955,922	20%
Baccalaureate Colleges	575	13%	31	13%	898,818	4%
Bacc/Associate's Colleges	262	6%	10	4%	1,270,740	6%
Associate's Colleges	1,000	23%	38	15%	5,808,423	29%
Special Focus Institutions	1,350	31%	85	34%	884,217	4%
Tribal Colleges	34	1%	0	0%	16,424	0.1%
TOTAL	4324		248		20,063,809	

*2018 US Basic Carnegie Classification Data



Current NYS IHEs with University Title

Adelphi University Alfred University **Clarkson University Colgate University** Columbia University **Cornell University** Fordham University Hofstra University Long Island University New York University

Niagara University Pace University **Rockefeller University** St. Bonaventure University St. John's University St. Lawrence University Syracuse University University of Rochester Yeshiva University

University at Albany **Binghamton University** University at Buffalo Stony Brook University SUNY Downstate Health **Sciences University** SUNY Upstate Medical University



25 with "University" title represent 10% of NYS IHEs

3 Possible Options to Consider

Option 1: Maintain the 3 doctoral program requirement

- But define/clarify doctoral program requirements as either:
 - Only Ph.D. degrees or
 - All doctoral programs, including professional and academic doctorates? (PhD, EdD, DNP, DPT, etc.)
- And define/clarify academic field:
 - Using HEGIS Areas/Codes



3 Possible Options to Consider

Option 2: At least one doctoral program is required

- But define doctoral program requirements as:
 - Only PhD programs?
 - All doctoral programs? including professional and academic doctorates (Ph.D., EdD, DNP, DPT, etc.)
- And require at least 3 graduate-level programs in at least 3 academic fields
 - Using HEGIS Areas/Codes



3 Possible Options to Consider

Option 3: Do not require any doctoral-level programs

- But require 5 graduate-level programs in 5 academic fields
- And define academic field using HEGIS Areas/Codes



Regulatory Terms/Definitions to Consider

Doctoral Program Considerations

- Only Ph.D. programs?
- All doctoral programs, including professional and academic doctorates? (Ph.D., Ed.D., D.N.P., D.P.T., etc.)

What constitutes an "academic field"?

- General HEGIS Areas?
 - 10 Academic Areas: Agriculture, Biological Sciences, Business, Education, Engineering, Fine Arts, Health Professions, Humanities, Physical Sciences, Social Sciences.
- **Specific HEGIS** Areas?
 - For example, within Social Sciences area, consider Psychology (code 2001.00) as a different academic field than Public Administration (code 2102.00), even though they are both in the general Social Sciences taxonomy area?)



NYS Taxonomy of Academic Programs (HEGIS)

HEGIS AREA	Sample Specific HEGIS Codes within Areas				
Agriculture	Agricultural and Farm Management (0110), Food Science and Technology (0113), Forestry (0114), Natural Resources Management (0115), etc.				
Biological Sciences	Biology (0401), Pathology (0408). Biochemistry (0414), Marine Biology (0418), Neurosciences (0425), etc.				
Business	Business & Commerce (0501), Accounting (0502), Marketing and Purchasing (0509), Labor and Industrial Relations (0516), etc.				
Education	Elementary Education (0802), Higher Education (0805), Speech Correction (0815), Educational Statistics and Research (0824), Music Education (0832), Library Science (1601), etc.				
Engineering	Architecture (0202), Chemical Engineering (0906), Mechanical Engineering (0910), Geological Engineering (0911), etc.				
Fine Arts	Art History and Appreciation (1003), Dramatic Arts (1007), Music Composition and Theory (1004.1), Applied Design (1009), etc.				
Health Professions	Nursing (1203), Dentistry (1204), Medicine (1206), Pharmacy (1211), Physical Therapy (1212), Public Health (1214), Sports and Exercise Studies (1299.3), etc.				
Humanities	African Studies (0305), Foreign Languages (1101), English Literature (1502), Religious Studies (1510), etc.				
Physical Sciences	Computer & Information Systems (0701), Mathematics (1701), Physics (1902), Organic Chemistry (1907), Astronomy (1911), Geology (1914), etc.				
Social Sciences	Communications (0601), Law (1401), Psychology (2001), Public Administration (2102), Economics (2204), Sociology (2208), Urban Studies (2214), etc.				



Questions/Discussion?

